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# Technical Requirements for Frequency Containment Reserve Provision in the Nordic Synchronous Area

27 June 2022

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**VERSION PENDING  
APPROVAL OF LEGAL  
METHODOLOGY**

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## Definitions

<b>Activated capacity</b>	Part of the active power output caused by FCR activation
<b>AEM</b>	Alert state Energy Management mode
<b>aFRR</b>	Automatic Frequency Restoration Reserve
<b>Backlash</b>	General denotation of mechanical dead-band / insensitivities / backlash
<b>Baseline</b>	Part of the active power output that does not include FCR activation
<b>Connection Point</b>	The interface at which the providing entity is connected to a transmission system, or distribution system, as identified in the connection agreement
<b>Controller parameter set</b>	A set of preselected parameter values, selectable with a single signal, e.g. a certain parameter set for island operation and another one for FCR-N
<b>Droop</b>	The ratio of a steady-state change of frequency to the resulting steady-state change in active power output, expressed in percentage terms. The change in frequency is expressed as a ratio to nominal frequency and the change in active power expressed as a ratio to maximum power.
<b>ENTSO-E</b>	European Network of Transmission System Operators for Electricity
<b>FCP</b>	Frequency Containment Process
<b>FCR</b>	Frequency Containment Reserve
<b>FCR-D</b>	Frequency Containment Reserve for Disturbances
<b>FCR-N</b>	Frequency Containment Reserve for Normal operation
<b>FCR-X</b>	FCR-X is used in common term and can be read as FCR-N, FCR-D upwards or FCR-D downwards
<b>FCR provider</b>	Legal entity providing FCR services from at least one FCR providing unit or group
<b>LER</b>	Limited Energy Reservoir, FCR providing entity with limited activation endurance.
<b>Maintained capacity</b>	The amount of reserve in MW that will be utilized at full activation, FCR-N $50 \pm 0.1$ Hz, at 49.5 Hz for FCR-D upwards, and at 50.5 Hz for FCR-D downwards
<b>NEM</b>	Normal state Energy Management mode
<b>Power system stabiliser (PSS)</b>	An additional functionality of the Automatic Voltage Regulator of a synchronous power-generating module whose purpose is to damp power oscillations
<b>Prequalification</b>	Prequalification means the process to verify the compliance of an FCR providing unit or an FCR providing group with the requirements set by the <i>Technical Requirements for Frequency Containment Reserve Provision in the Nordic Synchronous Area</i> and national terms and conditions.
<b>Providing entity</b>	FCR Providing Unit or FCR Providing Group
<b>Providing group</b>	FCR Providing Group means an aggregation of Power Generating Modules, Demand Entities and/or Reserve Providing Units and/or Energy storages connected to more than one Connection Point fulfilling the requirements for FCR

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<b>Providing unit</b>	FCR Providing Unit means a single or an aggregation of Power Generating Modules and/or Demand Entities and/or Energy storages connected to a common Connection Point fulfilling the requirements for FCR
<b>SOC</b>	State of Charge (of e.g. a battery)
<b>TSO</b>	Transmission System Operator

## 1 Introduction

These *Technical Requirements for Frequency Containment Reserve Provision in the Nordic Synchronous Area* specify formal technical requirements for Frequency Containment Reserve (FCR) providers as well as requirements for compliance verification and information exchange. The requirements are based on SO GL<sup>1</sup>, with proper adjustments to be suitable for the Nordic conditions. The requirements have been developed in cooperation between the Nordic TSOs: Energinet, Fingrid, Statnett and Svenska kraftnät.

In order to participate in the FCR markets, it is necessary for FCR providing units and FCR providing groups, jointly referred to as FCR providing entities<sup>2</sup>, to be prequalified. The prequalification process ensures that FCR providers have the ability to deliver the specified product required by the TSO and that all necessary technical requirements are fulfilled. The TSOs provide an IT tool that performs the necessary calculations and evaluates compliance from the test results with the technical requirements<sup>3</sup>. The prequalification shall be performed before a provider can deliver the products FCR-N (Frequency Containment Reserve for Normal operation) and FCR-D (Frequency Containment Reserve for Disturbances), and shall consist of documentation showing that the provider can deliver the specified product as agreed with the TSO. The technical requirements, the specific documentation required and the process for prequalification testing are described in this document. The process to validate the requirements includes:

- 1) Verification of the properties of the FCR providing entity.
- 2) Accomplishment of prequalification tests.
- 3) Setting up telemetry data to be sent to the reserve connecting TSO in real-time if requested, and data logging for off-line validation purposes.

Three FCR products are defined, which can be provided independently:

- FCR-N, in the range of 49.9 – 50.1 Hz
- FCR-D upwards, in the range of 49.9 – 49.5 Hz
- FCR-D downwards, in the range of 50.1 – 50.5 Hz

Each product can be provided either as a linear function of the frequency deviation or as an approximation of a linear function.

The requirements addressed in this document apply to FCR providing entities providing FCR-N and/or FCR-D services. Each product offered must comply with the requirements specified in this document.

The main requirements in this document are written in bold text within a box, as shown below:

<b>Requirement X:</b>
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An overview of the main requirements is presented in Table 2 in Section 3.

<sup>1</sup> COMMISSION REGULATION (EU) 2017/1485 of 2 August 2017 establishing a guideline on electricity transmission system operation.

<sup>2</sup> Since most of the requirements specified in this document refer to both FCR providing groups and FCR providing units, the term *FCR providing entity* has been introduced in the text, to cover both FCR providing units and FCR providing groups.

<sup>3</sup> A prototype of an IT Tool has been developed. The tool is still in development and is currently to be seen as a work in progress.

## 2 The prequalification process

The prequalification process shall ensure that the FCR provider is capable of providing FCR in accordance with the requirements from the TSO. The prequalification process is harmonized between the Nordic TSOs, and it is based on the requirements given to the TSOs through the European guidelines from the European Commission<sup>4</sup>. The process shall also ensure that the respective TSO has all the necessary documentation for the FCR providing entities. Furthermore, the process must ensure that the correct communication links are established and that the required telemetry is received. The required tests, documentation and data are described in this document. Further information about the practicalities can be obtained from the reserve connecting TSO.

### 2.1 The prequalification process for the first time

The prequalification process, illustrated in Figure 1, starts with a notification of the tests from the potential FCR provider to the reserve connecting TSO. After successful completion of the tests, a formal application has to be submitted. The application shall contain all relevant information required by the TSO, including the information listed in this document. Within 8 weeks the TSO shall confirm if the application is complete or request additional information from the provider. Additional information shall be provided within 4 weeks, otherwise the application is deemed withdrawn. When the application is complete, the TSO shall within 3 months either prequalify or deny the FCR providing entity to provide the service. The test results included in an application must not be older than 1 year.

In case compliance with certain requirements of this document has already been verified against the reserve connecting TSO, it will be recognised in the prequalification.

The FCR provider is responsible for the safe operation of their entities. Any risks related to performing the prequalification tests and/or providing FCR should be considered when planning for prequalification. In particular, the risk for surge or other waterway dynamics should be considered for hydropower units.

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<sup>4</sup> COMMISSION REGULATION (EU) 2017/1485 of 2 August 2017 establishing a guideline on electricity transmission system operation.

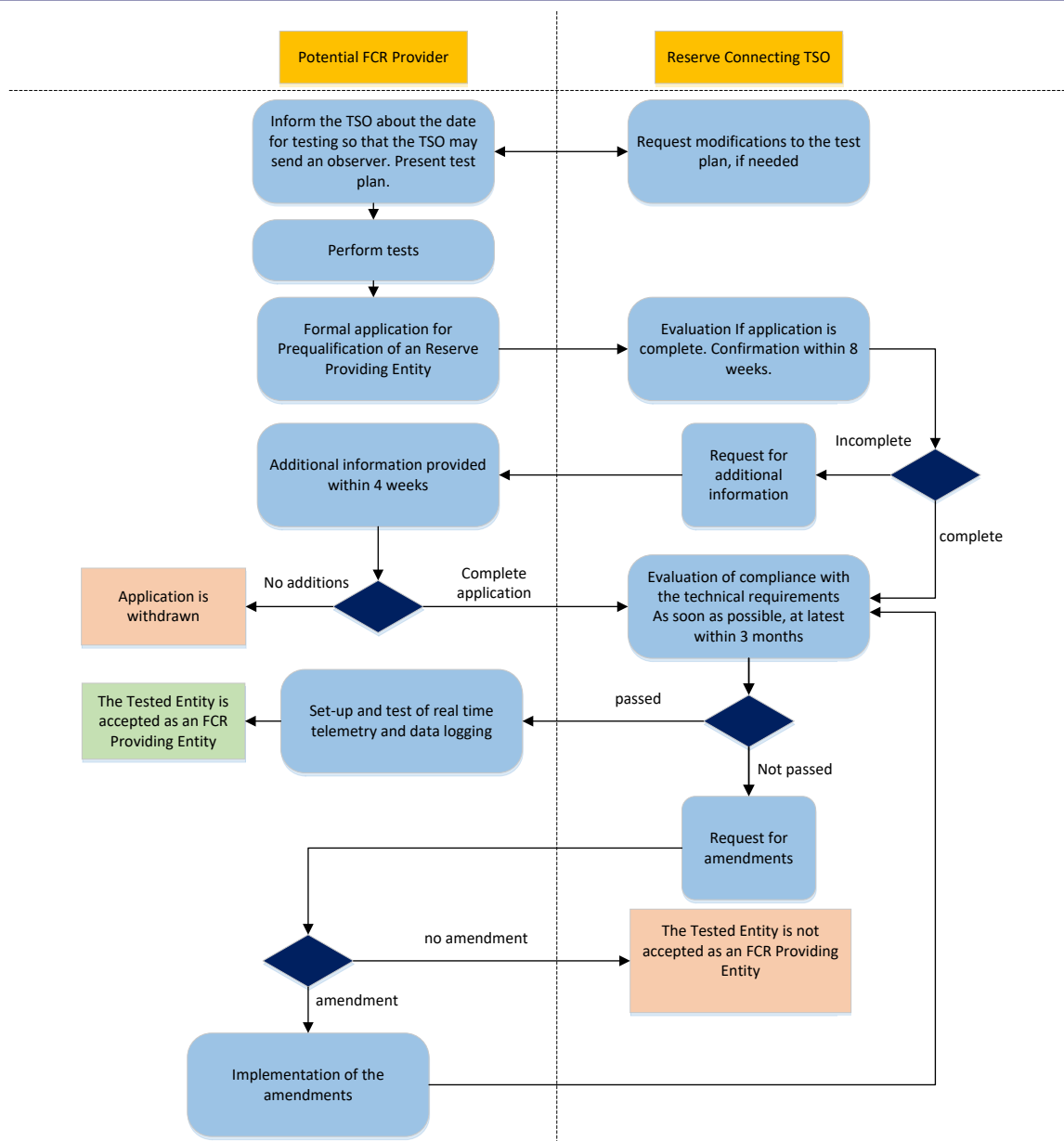


Figure 1. Illustration of the steps in the prequalification process.

## 2.2 Reassessment of the prequalification

The prequalification shall be re-assessed:

- once every five years,
- in case the equipment has changed or substantial change of the requirements, and
- in case of modernisation of the equipment related to FCR activation.

To maintain continuous validity of the prequalification, the FCR provider is responsible for initiating the reassessment process well in advance of the expiration of the previous prequalification. If a full prequalification procedure was performed less than 5 years ago, and no changes to the entity have occurred that can be expected to affect the fulfilment of the requirements, a simplified reassessment can be performed. The tests described in Section 3.1.1 should be performed for FCR-N and the tests described in Section 3.1.2 and 3.1.3 should be performed for FCR-D. The tests in Section 3.4.2 shall be performed, if



applicable. If the test results are in line with the most recent full prequalification test results, the FCR providing entity should be considered prequalified for another period of 5 years. If not, a full prequalification procedure is to be performed.

In case of any change that has a significant impact on the FCR provision for an already prequalified entity, a full prequalification is required. Such a change could e.g. be a new turbine governor or changed turbine governor settings.

## 2.3 Prequalification application

The FCR provider shall perform the required tests, gather the required documentation and send this information to the reserve connecting TSO in the requested format. The respective TSO will specify how, and to where, the application should be sent.

**The application shall contain, as a minimum, the following documentation:**

- 1) Formal application cover letter – including the reason for the application (first time, 5 year periodic reassessment, or substantial change)
- 2) General description of the providing entity
  - Including block diagram of the controller
  - Including description of limitations for FCR capability, if applicable
- 3) Description of how the steady state response for FCR is calculated (if and how it depends on parameter settings, load or ambient conditions).
- 4) Description of how the power baseline is calculated.
- 5) Test report and test data with respect to performance and stability, in a format specified in Subsection 6.2.1, for (when applicable)
  - FCR-N
  - FCR-D upwards
  - FCR-D downwards
- 6) Documentation of the real-time telemetry data performance and accuracy, as requested
- 7) Documentation of the data logging system performance and accuracy, as requested

**In addition, the application shall contain, as a minimum, the following documentation:**

### Generation based resources

- Generator: Rated apparent power [MVA]
- Turbine: Rated power [MW]
- Maximum power [MW]
- Minimum power [MW]
- Hydro power entities: Water starting time constant  $T_w$  [s] at rated head [m] and at rated turbine power, using the rated turbine power as base power
- Wind power entities: Rated wind speed [m/s]
- Turbine governor: Type, settings and block diagram

### Load based resources

- Information on the type of the load

- Technical description of the controller, including controller settings

#### Energy storage based resources

- Rated apparent power [MVA]
- Rated energy capacity of the energy storage [MWh]
- Energy storage maximum and minimum state of charge [MWh]
- Technical description of the controller, including controller settings
- Description of energy management

For other types of resources, corresponding data describing the properties of the entity have to be documented. The specification of such data has to be agreed with the reserve connecting TSO.

For aggregated resources, a high level technical description of the aggregation system shall be included. For entities without a predefined setpoint, a description of the method for forecasting available FCR capacity and of the method for calculating the baseline shall be included.

If the entity has been verified for compliance with grid connection requirements, prior to the prequalification process, any changes which are made for FCR provision must be documented, if they are relevant for compliance and verification of grid connection requirements.

## **2.4 Approval**

Upon approval, the FCR provider shall receive a notification from the reserve connecting TSO that the FCR providing entity is qualified to provide the stated FCR products. The notification shall confirm the qualified FCR capacities at the tested operating points. The notification shall also state the validity of the prequalification and when reassessment is due. The validity period of 5 years starts from the day of approval.

### 3 Technical requirements for the FCR-products

Each FCR providing entity has to meet a number of technical requirements. The purpose of these technical requirements is to guarantee that the resources taking part in frequency control

- have sufficient static and dynamic performance and
- do not destabilise the power system.

The requirements are the same irrespective of the providing entity, i.e. generating entities, load entities and energy storage should be tested in a similar way to ensure the fulfilment of the performance and stability requirements, respectively.

There are three FCR products: FCR-N, FCR-D upwards and FCR-D downwards. They are activated in separate grid frequency bands according to Table 1, and the activation shall in steady state be close to proportional to the negative grid frequency deviation,  $\Delta f$ . FCR shall remain activated as long as the frequency deviation persists<sup>5</sup>.

**Table 1. Steady state activation of the FCR products. Negative activation means a reduction in power injected to the system (production) or an increase in the power withdrawn from the system (load). Positive activation means an increase in power production or a reduction of load.**

Product	100 % negative activation	0 % activation	100 % positive activation
FCR-D upward	N.A.	$f \geq 49.9$ Hz	$f \leq 49.5$ Hz
FCR-N	$f \geq 50.1$ Hz	$f = 50$ Hz	$f \leq 49.9$ Hz
FCR-D downward	$f \geq 50.5$ Hz	$f \leq 50.1$ Hz	N.A.

Each provider of FCR must have a method to calculate the steady state response of each delivered FCR product given the controller settings (droop) and other relevant conditions (load, ambient conditions). The steady state response calculation method shall be verified by the prequalification test results and approved by the TSO. The method shall be an unbiased estimation of the steady state response. Examples of steady state response calculation methods are given in Appendix 1. After prequalification, the steady state response calculation method in combination with any reduction factors determined by the results from the prequalification tests shall be used to calculate the capacity of FCR that can be sold from the entity. Synchronous and asynchronous machines that are directly connected to the grid (i.e. not connected via power converters) are recommended to not use fast power feedback in the controller, since this will counteract the inertial response of the unit.

The maximal provision per single point of failure is limited to 5 % of the nominal reference incident in the Nordic power system. Currently the maximal provision of FCR-N or FCR-D per single point of failure is 70 MW in the upwards direction and 70 MW in the downwards direction. In addition, when providing both FCR-N and FCR-D at the same time, the combined maximal provision is 100 MW in the upwards direction and 100 MW in the downwards direction respectively.

The FCR response shall not be artificially delayed and begin as soon as possible after a frequency deviation. FCR providers shall disable their FCR contribution when not procured. Voltage control using frequency-voltage droop is allowed. The technical requirements that are subject to testing are listed in Table 2.

<sup>5</sup> In accordance with SO GL article 156.7-9.

**Table 2. Requirements and tests.**

Symbol explanations: x = The requirement applies. N = The requirement/test applies to FCR-N. Du = The requirement/test applies to FCR-D upwards. Dd= The requirement/test applies to FCR-D downwards. S = The requirement/test applies only to Static FCR-D. \* = If FCR-D upwards and FCR-D downwards has the same parameters, one sine test of FCR-D is enough. \*\*= The test is only needed for reserves with a non-continuous controller, and/or Static FCR-D. \*\*\*=Test of endurance should be included in the test at the operating point that is most challenging from an endurance point of view. \*\*\*\*=The frequency measurement equipment test can be carried out at any operating point.

Requirement \ Test		Sine @ 50.0 Hz	Sine @ 49.7 Hz	Sine @ 50.3 Hz	Step sequence FCR-N	Linearity step sequence FCR-N	Fast ramp FCR-D upwards	Fast ramp FCR-D downwards	Ramp Static FCR-D upwards	Ramp Static FCR-D downward	Linearity step sequence FCR-D upwards	Linearity step sequence FCR-D downwards	Normal operation	Frequency measurement equipment test	Described in report section
1	Steady state response (also for combination of reserves)				N		Du	Dd	SDu	SDd					3.1.1, 3.1.2, 3.1.3
2	Power after 7.5 s						Du	Dd	SDu	SDd					3.1.2
3	Energy from 0 to 7.5 s						Du	Dd	SDu	SDd					3.1.2
4	Activation						Du	Dd							3.1.2, 3.1.3
5	Deactivation						Du	Dd							3.1.2, 3.1.3
6	Activation Static FCR-D								SDu	SDd					
7	Re-activation Static FCR-D								SDu	SDd					
8	Deactivation rate Static FCR-D								SDu	SDd					
9	Frequency domain stability	N	Du*	Dd*										N,Dd,Du	3.2, 4.4
10	Frequency domain performance	N	Du*	Dd*										N,Dd,Du	3.3, 4.4
11	Dynamic linearity	N	Du*	Dd*											3.4.1
12	Linearity (non-continuous)					N**					Du**	Dd**			3.4.2
13	Endurance				N		Du	Dd	SDu	SDd					3.1.1, 3.1.2
14	Mode shifting						Du	Dd							3.1.2
<b>Test conditions</b>															
	High load, low droop		Du*	Dd*	N***	N**	Du***	Dd***			Du**	Dd**	1 hour	1 test****	5.1, 5.4
	High load, high droop	N			N		Du	Dd							5.1
	Low load, low droop				N		Du	Dd							5.1
	Low load, high droop				N	N**	Du	Dd			Du**	Dd**			5.1

### 3.1 Steady state response, endurance and time domain dynamic performance

The FCR reserves contribute to the control of the frequency of the power system. Although any given FCR providing entity typically has little impact on the overall grid frequency, it is crucial that the sum of the behaviour of all the FCR providing entities ensures sufficient dynamic performance to contain the frequency within the allowed limits. To ensure the dynamic performance of the system regardless of which entities that provide FCR, it is required that every FCR providing entity has a sufficient dynamic performance.

The steady state response of FCR-N and FCR-D is verified by step and ramp tests. For entities with limited energy reservoirs, LER, the endurance of the steady state response and the energy management functionality shall be verified. For FCR-D, the transient response to fast ramp-like changes in the frequency are central.

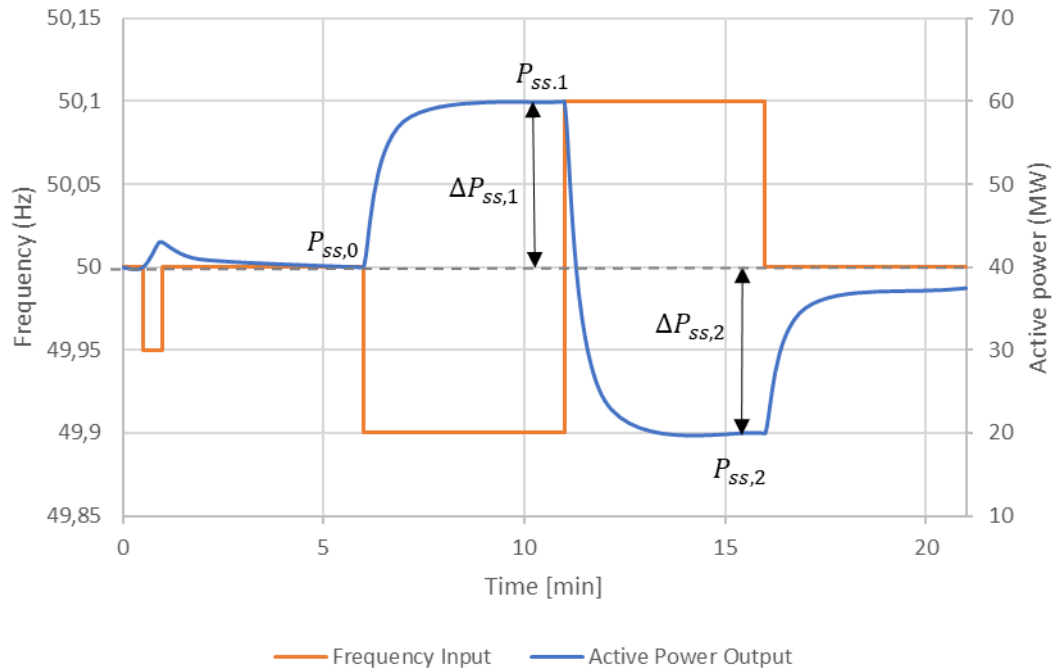
#### 3.1.1 FCR-N

The steady state response of FCR-N is tested with the step sequence described in Table 3 and Figure 2. The input frequency signal is changed in steps. The first step is carried out to ensure a starting point where the effect from any backlash in the regulating mechanism will have the same impact on the two following steps. After the initial preparatory step, the power shall be allowed to settle for 5 minutes before a step to 49.9 Hz and a step to 50.1 Hz is carried out, both maintained for 5 minutes to allow the power response to settle.

For entities **with a limited energy reservoir (LER)** the steps to 49.9 Hz and 50.1 Hz respectively shall be maintained for at least 60 minutes to test the endurance of the response and the energy management functionality. For entities **without LER**, the steps at 49.9 Hz and 50.1 Hz respectively shall be maintained for at least 15 minutes. This paragraph only applies to the test with the most challenging combination of loading and droop, from an endurance point of view.

Table 3. FCR-N step test sequence.

Step number	Start time [min]	Start time endurance test [min]		Duration [min]	Frequency [Hz]	Comment
		Non-LER	LER			
	0	0	0	0.5	50.0	Starting point
Pre-step	0.5	0.5	0.5	0.5	49.95	Small step to handle backlash
0	1	1	1	5	50.0	Step to $f_0, P_0$
1	6	6	6	5 / 15 / 60	49.9	Step to $f_1, P_1$
2	11	21	66	5 / 15 / 60	50.1	Step to $f_2, P_2$
3	16	36	126	5	50.0	Step to $f_3, P_3$
	21	41	131			End of test



**Figure 2. FCR-N step-response sequence. Input frequency (orange) and example response (blue).**

The steady state response in upwards direction is calculated as

$$\Delta P_{ss,1} = P_{ss,1} - P_{ss,0} \quad (1)$$

and the steady state response in downwards direction is calculated as

$$\Delta P_{ss,2} = P_{ss,2} - P_{ss,0} \quad (2)$$

where  $P_{ss,0}$  is the steady state power at  $f_0=50$  Hz,  $P_{ss,1}$  is the steady state power at  $f_1=49.9$  Hz and  $P_{ss,2}$  is the steady state power at  $f_2=50.1$  Hz.

The steady state response must not differ too much from the theoretical steady state response. Under-delivery means that the power system might not have enough reserves to contain the frequency while over-delivery might lead to decreased stability margins, oscillatory behaviour and overshoots. The maximal allowed under-delivery in the test result is 5 %, and over-delivery 20 %. The requirement on the step with upwards regulation is:

<p><b>Requirement 1 upwards:</b> <math>-0.05 \leq \frac{\Delta P_{ss,1} -  \Delta P_{ss,theoretical} }{ \Delta P_{ss,theoretical} } \leq 0.2</math></p>
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And the requirement on the step with downwards regulation, noting that  $\Delta P_{ss,2}$  is a negative value, is:

<p><b>Requirement 1 downwards:</b> <math>-0.2 \leq \frac{\Delta P_{ss,2} +  \Delta P_{ss,theoretical} }{ \Delta P_{ss,theoretical} } \leq 0.05</math></p>
---

where  $\Delta P_{ss,theoretical}$  is the average or minimum of the steady state response to a frequency deviation of 0.1 Hz in upwards or downwards direction calculated with the provider's capacity calculation method.

If the steady state response requirement is not fulfilled, the provider is allowed to introduce a capacity reduction factor,  $K_{red,ss}$ , on the theoretical capacity so that the requirement is fulfilled. The reduction factor has to be a value between 0.9 and 1. The requirement is then expressed as:

<b>Requirement 1 with reduction factor, upwards:</b>	$-0.05 \leq \frac{\Delta P_{ss,1} - K_{red,ss} \cdot  \Delta P_{ss,theoretical} }{K_{red,ss} \cdot  \Delta P_{ss,theoretical} } \leq 0.2$
<b>Requirement 1 with reduction factor, downwards:</b>	$-0.2 \leq \frac{\Delta P_{ss,2} + K_{red,ss} \cdot  \Delta P_{ss,theoretical} }{K_{red,ss} \cdot  \Delta P_{ss,theoretical} } \leq 0.05$

Note that failure to fulfil the dynamic performance criteria also can be mitigated by introducing another capacity reduction factor,  $K_{red,dyn}$  (see section 3.3). If any capacity reduction factors are determined, the capacity of the entity should be reduced with the minimum of the steady state reduction factor and the dynamic reduction factor. The capacity is then

$$C_{FCR-N} = \min(K_{red,ss}, K_{red,dyn}) \cdot \Delta P_{ss,theoretical} \quad (3)$$

If the needed reduction factor is smaller than 0.9, the unit fails the prequalification for FCR-N.

The provider can select either to use one reduction factor for all operating points for loading and droop, or to calculate a separate reduction factor for each loading and droop, in which case the value of the reduction factor shall be interpolated for loading and droop in between the ones tested.

### 3.1.2 FCR-D

The steady state response, endurance and time domain dynamic performance including deactivation performance of FCR-D is tested with a ramp sequence. The aim of the dynamic performance requirements for FCR-D is to limit the maximal frequency deviation in case of a large disturbance, and the aim of the deactivation requirement is to limit the overshoot in frequency after a moderate disturbance.

Since it is required of an FCR-D providing entity to change its power quickly after a disturbance, some entities may have difficulty in fulfilling the performance requirements and the stability requirements (section 3.2) at the same time. Such units are allowed to use mode shifting in the controller to achieve high performance for a short period of time after a disturbance. If mode shifting is used, the controller shall have a *high performance mode* and a *high stability mode*, and the shifting between these modes shall be tested during the FCR-D ramp sequence test. The high stability mode must comply with the stability requirement 9 for FCR-D described in Section 3.2 and the performance requirement 10 described in Section 3.3. In practice, it is recommended to use FCR-N parameters in high stability mode, assuming that the same droop is used for FCR-N and FCR-D.

The following rules apply for activating/deactivating the high performance mode:

- The entity may activate the high performance mode at a grid frequency equal to or lower than 49.8 Hz for FCR-D upwards, and at a frequency equal to or higher than 50.2 Hz for FCR-D downwards.
- Regardless of the frequency activation threshold, the entity must deactivate the high performance mode at the latest when 10 seconds have passed from the activation instant, and switch to the high stability mode.
- After deactivation, the high performance mode must be blocked from reactivating for 5-15 minutes (recommended value: 5 minutes), in case the high performance mode does not comply with stability requirement 9 described in Section 3.2. The block shall apply separately for FCR-D upwards and FCR-D downwards.

Documentation of the activation and deactivation of the modes must be provided to the reserve connecting TSO before the testing, i.e. with the test plan.

### Fast ramp test

The frequency input signal for the test is given in Table 4 and also visualized in Figure 4. The ramps shall be at a rate of 0.24 Hz/sec. Entities with LFSM controllers shall have the LFSM controller active during the test. The ramp sequence shall be run 4 times with different operating conditions (high load and high droop, high load and low droop, low load and high droop, low load and low droop). For entities that will sometimes deliver FCR-N and FCR-D at the same time, the FCR-N shall be active during the high droop tests to test the combination of FCR-N and FCR-D. The last two ramps (7 and 8) only need to be included when the combination of FCR-N and FCR-D is tested.

For entities **with a limited energy reservoir (LER)** the level after ramp 3 (at 49.5 Hz and 50.5 Hz respectively) shall be maintained for at least 30 minutes. For entities **without LER**, the level after ramp 3 (at 49.5 Hz and 50.5 Hz respectively) shall be maintained for at least 15 minutes. This paragraph only applies to the test with the most challenging combination of load and droop, from an endurance point of view. For the tests at other combinations of load and droop, the level shall be maintained for at least 5 minutes (noted as general).

**Table 4. FCR-D fast ramp test. Each change of the frequency is made in the form of a ramp with rate 0.24 Hz/s. The endurance test in column 3 and 4 (for non-LER and LER respectively), only needs to be applied once, for the most challenging combination of load and droop, from an endurance point of view. The sequence noted as general applies for tests which does not include a test of endurance. Ramp 7 and 8 can be omitted when FCR-N is disabled.**

Ramp number	Start time [s]	Start time (endurance test) [s]		Duration [s]	Frequency for FCR-D upwards [Hz]	Frequency for FCR-D downwards [Hz]	Comment	If mode shift is used
		non-LER	LER					
	0	0	0	30	49,9	50,1	Wait until the power is stable before starting the test.	
1	30	30	30	3	49,5	50,5	Activation performance test 1	Shift to high performance mode
2	33	33	33	27	49,9	50,1	Deactivation test 1	Return to stability mode and block
3	60	60	60	300 / 900 / 1800 (general / non-LER / LER)	49,5	50,5	Steady state response at full activation	Performance mode blocked, no shift
4	360	960	1860	minimum 300	49,9	50,1	Steady state response at zero activation	Maintain at least until mode shift is unblocked
5	660	1260	2160	60	49	51	Activation performance test 2	Shift to high performance mode
6	720	1320	2220	300	50	50	Deactivation test 2	High stability mode (mode shift blocked)
7	1020	1620	2520	300	49,8	50,2	FCR-N/FCR-D combination test	
8	1320	1920	2820	300	49,89	50,11	FCR-N/FCR-D combination test	



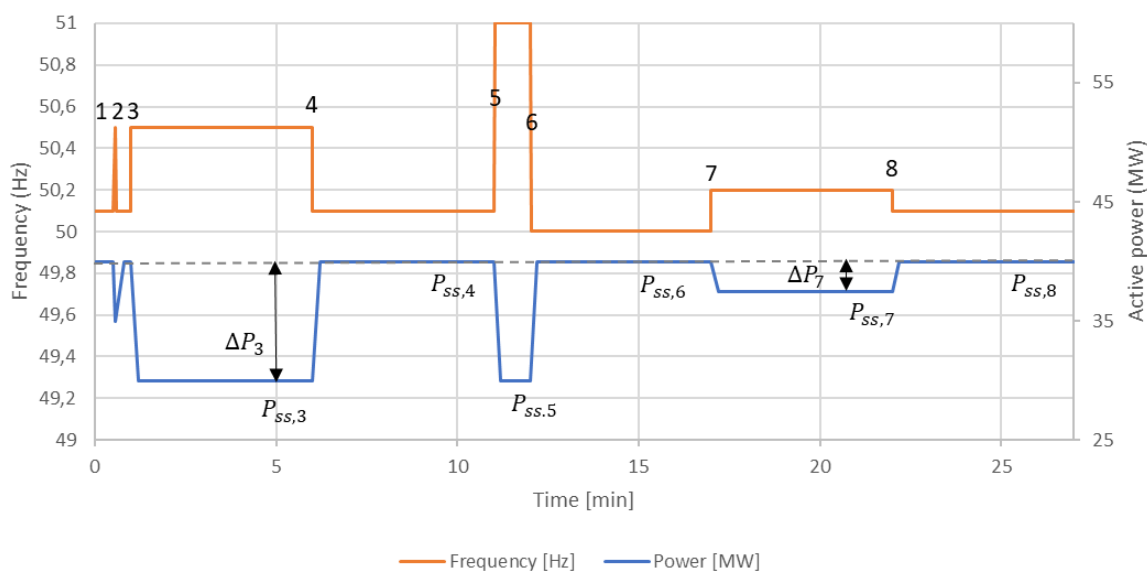
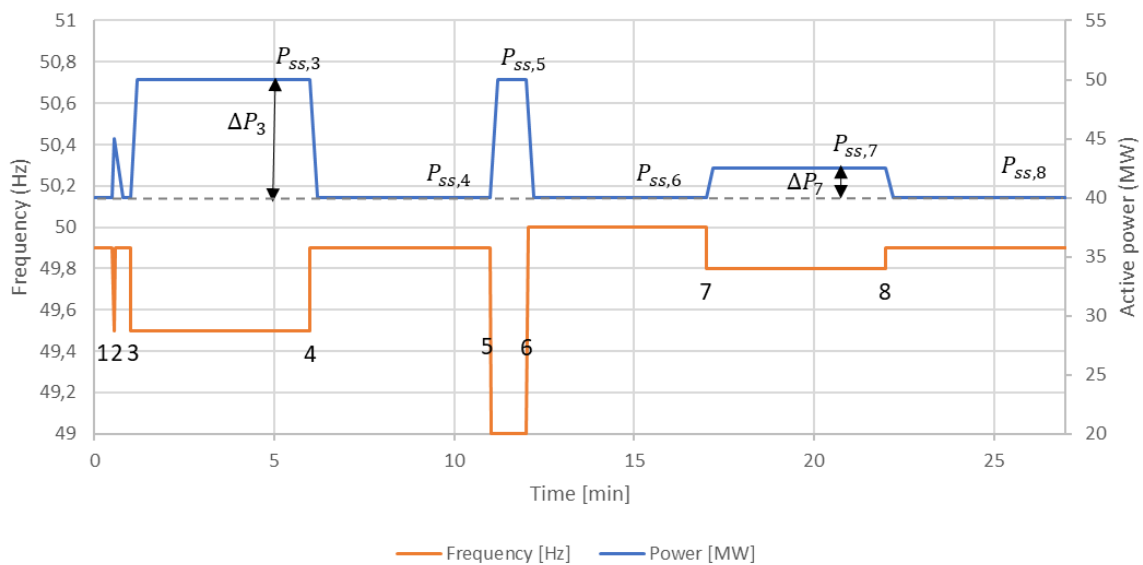


Figure 3. Illustration of FCR-D upwards and downwards ramp test. Here, FCR-N is inactive and therefore  $P_8 = P_6$ .

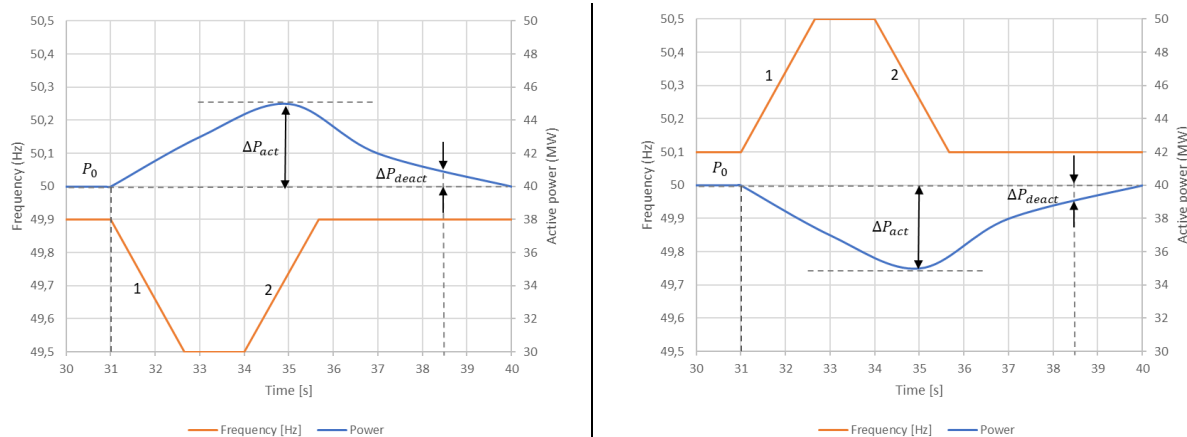


Figure 4. Activation/deactivation requirements on ramp 1 and 2 for FCR-D upwards (left) and FCR-D downwards (right).

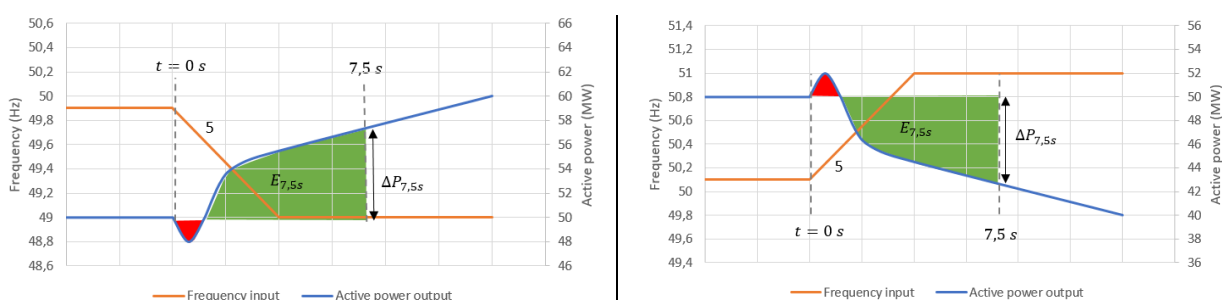


Figure 5. Dynamic performance requirements on ramp 5 for FCR-D upwards (left) and FCR-D downwards (right). The green area indicates positive energy contribution while the red area indicates negative energy contribution.

The steady state response of FCR-D is calculated as the difference between the steady state response of ramp 3 (ending at 49.5 Hz for FCR-D upwards and 50.5 Hz for FCR-D downwards) and ramp 4 (ending at 49.9 Hz for FCR-D upwards and 50.1 Hz for FCR-D downwards). The steady state response must not differ more than 5 % from the theoretical steady state response in the direction of under-delivery and 20 % in the direction of over-delivery:

$$\text{Requirement 1 for FCR-D upwards:} \quad -0.05 \leq \frac{P_{ss,3} - P_{ss,4} - |\Delta P_{ss,theoretical}|}{|\Delta P_{ss,theoretical}|} \leq 0.2$$

$$\text{Requirement 1 for FCR-D downwards:} \quad -0.2 \leq \frac{P_{ss,3} - P_{ss,4} + |\Delta P_{ss,theoretical}|}{|\Delta P_{ss,theoretical}|} \leq 0.05$$

where

$|\Delta P_{ss,theoretical}|$  (MW) is the steady state response for to a frequency change from 49.9 Hz to 49.5 Hz for FCR-D upwards or a frequency change from 50.1 Hz to 50.5 Hz for FCR-D downwards, calculated with the provider's steady state response calculation method,

$P_{ss,3}$  is the steady state power after ramp number 3 and

$P_{ss,4}$  is the steady state power after ramp number 4.

Using the values as illustrated in Figure 5, the following requirements shall be fulfilled for the responses to ramp 5 (to 49.0 Hz for FCR-D upwards and to 51.0 Hz for FCR-D downwards):

$$\text{Requirement 2:} \quad |\Delta P_{7,5s}| \geq 0.86 \cdot |\Delta P_{ss,theoretical}|$$

$$\text{Requirement 3:} \quad |E_{7,5s}| \geq 3.2s \cdot |\Delta P_{ss,theoretical}|$$

In the equations above,

$\Delta P_{7.5s}$  (MW) is the activated power 7.5 seconds after the start of the ramp,

$E_{7.5s}$  (MWs) is the activated energy from the start of the ramp to 7.5 seconds after the start of the ramp, that is

$$E_{7.5s} = \int_t^{t+7.5s} \Delta P(t) dt. \quad (4)$$

$\Delta P_{ss,theoretical}$  (MW) is the steady state response of FCR-D upwards and downwards respectively, calculated with the provider's steady state response calculation method.

Deactivation is defined as decreasing the FCR response when the frequency deviation decreases. FCR-D providing entities shall behave similarly for deactivation as for activation. Furthermore, in case of frequency deviations smaller than full activation and/or continuously changing frequency deviations, the performance of the FCR-D response should behave in a similar way. The activation-deactivation performance is tested by ramp 2 and 3.

The peak power response for ramp 2 (see Figure 4) as compared to the zero activation power ( $P_{ss,4}$ ), should be at least 30 % of the theoretical steady state response including any reduction factors, i.e. the prequalified capacity.

<b>Requirement 4:</b> $\frac{ \Delta P_{act} }{ C_{FCR-Dx} } > 0.3$
---

In addition, the initial activation behaviour during ramp 1 shall be similar to the activation behaviour of the similar ramp 5.

The power response at 8.5 seconds after the start of ramp 2 (i.e. 4.5 seconds after the start of ramp 3, see Figure 4) as compared to the zero activation power ( $P_{ss,4}$ ), should be smaller than 30 % of the theoretical steady state capacity including any reduction factors, i.e. the prequalified capacity.

<b>Requirement 5:</b> $\frac{ \Delta P_{deact} }{ C_{FCR-Dx} } < 0.3$
---

### **Reduced capacity**

If the steady state response requirement is not fulfilled, the provider is allowed to introduce a capacity reduction factor,  $K_{red,ss}$ , on the theoretical capacity so that the requirement is fulfilled. The reduction factor has to be a value between 0.75 and 1.<sup>6</sup> The requirement is then expressed as:

<b>Requirement 1 for FCR-D upwards with reduction factor:</b>
---

$$-0.05 \leq \frac{P_{ss,3} - P_{ss,4} - K_{red,ss} |\Delta P_{ss,theoretical}|}{K_{red,ss} |\Delta P_{ss,theoretical}|} \leq 0.2$$

<b>Requirement 1 for FCR-D downwards with reduction factor:</b>
---

$$-0.2 \leq \frac{P_{ss,3} - P_{ss,4} + K_{red,ss} |\Delta P_{ss,theoretical}|}{K_{red,ss} |\Delta P_{ss,theoretical}|} \leq 0.05$$

A capacity reduction factor with a value between 0.75 and 1 can also be used if the FCR-D providing entity does not fulfil the performance requirement.<sup>6</sup> The requirements are then expressed as:

<sup>6</sup> The reserve connecting TSO may allow a capacity reduction factor down to 0.5 upon request, depending on the national procurement process.

<b>Requirement 2 with reduction factor:</b>	$ \Delta P_{7,5s}  \geq 0.86 \cdot K_{red,dyn}  \Delta P_{ss,theoretical} $
<b>Requirement 3 with reduction factor:</b>	$ E_{7,5s}  \geq 3.2s \cdot K_{red,dyn}  \Delta P_{ss,theoretical} $

If a capacity reduction factor is determined, the capacity of the entity shall be reduced with the minimum of the steady state reduction factor and the dynamic reduction factor. The capacity is then

$$C_{FCR-Dx} = \min(K_{red,ss}, K_{red,dyn}) \cdot \Delta P_{ss,theoretical} \quad (5)$$

The provider can select either to use one reduction factor for all loads and droops or to calculate a separate reduction factor for each load and droop, in which case the value of the reduction factor shall be interpolated for loads and droops in between the ones tested.

### **Combination of FCR-N and FCR-D**

If the entity will provide both FCR-N and FCR-D, the fast ramp test with high droop should be carried out with both FCR-N and FCR-D active, while the ramp test with low droop should be carried out with only FCR-D active. For the test sequence **when FCR-N is active**, the steady state response after ramp 8 should fulfil the steady state response requirement for FCR-N,

**Requirement 1, combination upwards:**

$$-0.05 \leq \frac{(P_{ss,8} - P_{ss,4}) - |\Delta P_{FCR-N,ss,theoretical}| - 0.01/0.4 |\Delta P_{FCR-D,up,ss,theoretical}|}{|\Delta P_{FCR-N,ss,theoretical}|} \leq 0.2$$

or

**Requirement 1, combination downwards:**

$$-0.2 \leq \frac{P_{ss,8} - P_{ss,4} + |\Delta P_{FCR-N,ss,theoretical}| + 0.01/0.4 |\Delta P_{FCR-D,down,ss,theoretical}|}{|\Delta P_{FCR-N,ss,theoretical}|} \leq 0.05$$

where  $\Delta P_{FCR-N,ss,theoretical}$  is the steady state response of FCR-N calculated with the provider's capacity calculation method.

### **Mode shifting**

**Requirement 14:** For entities that utilises **mode shifting** from high stability mode to high performance mode, the ramp test sequence should verify the following:

- 1) The high performance mode is active during ramp 1 and ramp 2 and then blocked.
- 2) The high stability mode is active during ramp 3 and ramp 4 (the high performance mode is blocked from activation).
- 3) The high performance mode is active during ramp 5 and then blocked.
- 4) The high stability mode is active during ramp 6.
- 5) The shifts between modes must be smooth and bump-less (the controller should not jump to a new value at the time of shifting in a way that causes a significant bump in the power output, especially not a bump in the wrong direction).

The active mode should be logged during the test so that the mode shifting and blocking can be verified.

### 3.1.3 Static FCR-D

Entities that have difficulties to comply with the dynamic requirements, e.g. activation/deactivation performance and dynamic stability can provide a special type of FCR-D called *Static FCR-D*. The technical requirements and tests of Static FCR-D are described in this section. The main difference from regular FCR-D (referred to as Dynamic FCR-D in this section) is a grace period of 15 minutes where the entities are not required to deactivate and/or be able to perform a second activation.

Static FCR-D is tested by a ramp response test specified in Table 5 which is carried out at the four operational conditions high load and low droop, high load and high droop, low load and low droop, and low load and high droop. The requirements on the ramp response test are illustrated in Figure 6.

**Table 5. Ramp response test for Static FCR-D.**

Ramp number	Start time [s]	Start time (endurance test) [s]		Duration [s]	Frequency for FCR-D upwards [Hz]	Frequency for FCR-D downwards [Hz]	Comment
		non-LER	LER				
	0	0	0	180	49,9	50,1	Wait until the power is stable before starting the test.
1	180	180	180	60 / 900 / 1800 (general / non- LER / LER)	49,5	50,5	Activation performance test 1
2	240	1080	1980	1200	49,9	50,1	Deactivation test 1
	1440	2280	3180				End of test

The steady state response of Static FCR-D is calculated as the difference between the steady state response of ramp 1 (ending at 49.5 Hz for FCR-D upwards and 50.5 Hz for FCR-D downwards) and before ramp 1, i.e. at 49.9 Hz for FCR-D upwards or 50.1 Hz for FCR-D downwards. The steady state response must not differ more than 5 % from the theoretical steady state response in the direction of under-delivery and 10 % in the direction of over-delivery:

<b>Requirement 1 for Static FCR-D upwards:</b>	$-0.05 \leq \frac{P_{ss,1} - P_{ss,0} -  \Delta P_{ss,theoretical} }{ \Delta P_{ss,theoretical} } \leq 0.1$
<b>Requirement 1 for Static FCR-D downwards:</b>	$-0.1 \leq \frac{P_{ss,1} - P_{ss,0} +  \Delta P_{ss,theoretical} }{ \Delta P_{ss,theoretical} } \leq 0.05$

where

$|\Delta P_{ss,theoretical}|$  (MW) is the steady state response for to a frequency change from 49.9 Hz to 49.5 Hz for FCR-D upwards or a frequency change from 50.1 Hz to 50.5 Hz for FCR-D downwards, calculated with the provider's steady state response calculation method,

$P_{ss,1}$  is the steady state power before ramp number 1

$P_{ss,0}$  is the steady state power after ramp number 1.

Referring to Figure 5 in the section about Dynamic FCR-D, the same requirements shall be fulfilled for Static FCR-D for the responses to ramp 1:

<b>Requirement 2:</b>	$ \Delta P_{7.5s}  \geq 0.86 \cdot  \Delta P_{ss,theoretical} $
<b>Requirement 3:</b>	$ E_{7.5s}  \geq 3.2s \cdot  \Delta P_{ss,theoretical} $

where

$\Delta P_{7.5s}$  (MW) is the activated power 7.5 seconds after the start of the ramp,

$E_{7.5s}$  (MWs) is the activated energy from the start of the ramp to 7.5 seconds after the start of the ramp (see Eq. 4).

The overshoot in the power response to ramp 1 must not exceed 50% during the first 7.5 seconds and 20% during the time after 7.5 seconds. In addition, the delay before the response is initiated shall not exceed 2.5 seconds. However, the activation of FCR shall not be artificially delayed, but begin as soon as possible after a frequency deviation.

<b>Requirement 6a:</b>	$ \Delta P_{t \leq 7.5}  \leq 1.5 \cdot  \Delta P_{ss,theoretical} $
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<b>Requirement 6b:</b>	$ \Delta P_{t > 7.5s}  \leq 1.2 \cdot  \Delta P_{ss,theoretical} $
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<b>Requirement 6c:</b>	$ \Delta P_{t > 2.5s}  > 0$
------------------------	-----------------------------

The Static FCR-D response must remain active until the frequency is restored to the standard frequency range  $49.9 \text{ Hz} < f < 50.1 \text{ Hz}$ . The endurance is tested by maintaining the frequency deviation of ramp 1 for 15 minutes (30 minutes for LER-resources) during the test with the most challenging combination of load and droop from an endurance point of view.

<b>Requirement 13:</b>	The steady state response shall stay within the steady state limits specified in Requirement 1 for as long as the frequency deviation persists.
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The Static FCR-D shall initiate deactivation after the frequency returns to the standard frequency range, and has stayed in that range for 60 seconds. The FCR-D must be deactivated and ready to perform a second activation within 15 minutes counted from 60 seconds after the return of the frequency into the standard frequency range. If FCR-D is only partially activated during a short or small frequency disturbance, the remaining FCR-D volume shall be ready for activation immediately, even within the grace period when the previously activated volume is unavailable.

<b>Requirement 7:</b>	Static FCR-D shall be deactivated and ready for reactivation within a grace period of maximum 15 minutes from the frequency return to the standard frequency range. The utilised grace period shall be as short as possible, and motivated on a technical basis.
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Deactivation is only allowed when the frequency is within the standard frequency range. The rate of deactivation is limited to maximum 2.5% of the theoretical steady state response to a full frequency deviation per second, as a moving average with a window of 10 seconds and with no single step larger than 20%.

<b>Requirement 8:</b>	$\frac{ P_{ss,1}  -  P_{ss,2} }{t_{deact}} \leq 0.025  \Delta P_{ss,theoretical} $
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where

$t_{deact}$  is the time from the start of the deactivation to the end of the deactivation, see Figure 6.

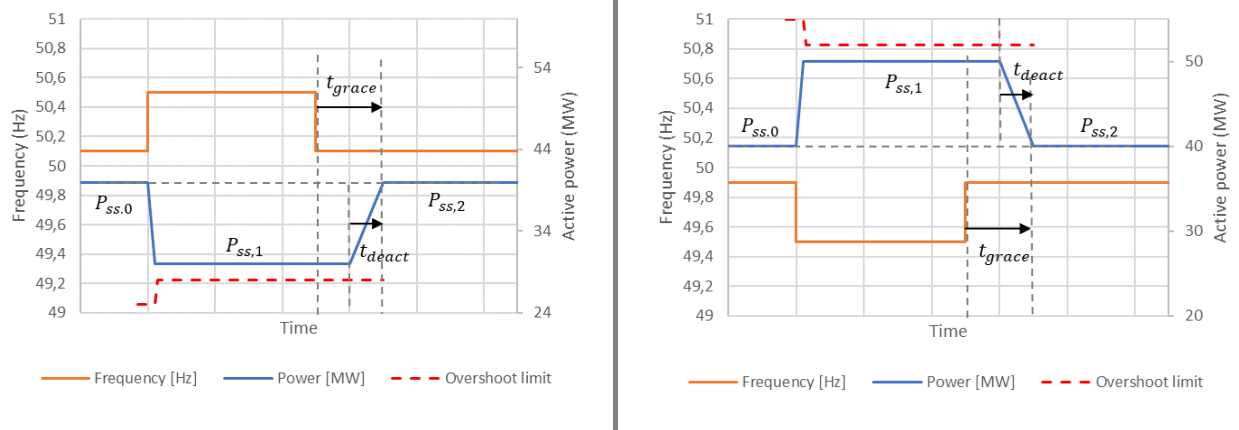


Figure 6. Illustration of a Static FCR-D ramp test and the notation used in the requirements.

The TSOs do not currently foresee that all of the procured volume at all times need to have dynamic properties, hence a limited amount of capacity may be procured from entities providing Static FCR-D. The exact share that has to be of the dynamic variant can be expected to change over time, as a main factor is the inertia levels in the synchronous area, which have seen a downwards trend as the amount of inter-connected production increases. The TSOs shall set a suitable quota for the minimum procured volume from Dynamic FCR-D to ensure that the objectives of these technical requirements are not endangered. The TSOs will review the quota at least once a year and communicate the quota to the market.

### 3.2 Frequency domain stability requirements

The FCR reserves contribute to the feedback control of the frequency of the power system. Although any given FCR providing entity typically has little impact on the overall grid frequency, it is crucial that the sum of the behaviour of all the FCR providing entities gives a stable feedback loop, see Figure 7. To ensure stability regardless of which entities that provide FCR, it is required that every FCR providing entity has a stabilizing impact on the system, such that if the whole FCR volume was provided by entities identical to a specific entity, the system would be stable with a certain stability margin.

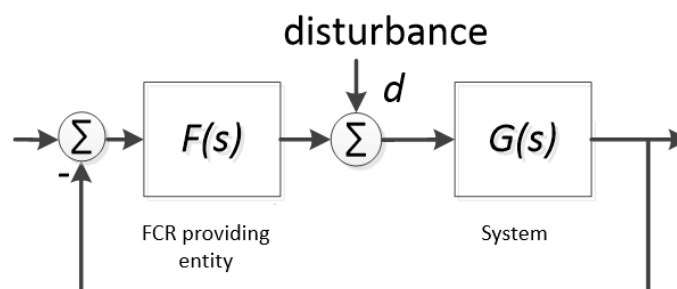


Figure 7. Illustration of the system used for evaluation of compliance with requirements in frequency domain.

The frequency domain stability requirement is tested through sine tests, where the applied nominal 50 Hz frequency signal is to be superimposed with a sinusoidal test signal with different periods ranging from 10 to 300 seconds, resulting in a sinusoidal power output.

The required tests are listed in Table 6. A number of stationary periods are needed to evaluate the test results. The sines should be centred around 50 Hz when testing FCR-N and around 49.7 Hz and 50.3 Hz when testing FCR-D upwards and downwards respectively. If FCR-D upwards and downwards are using the same parameter settings it is sufficient to do the sine test for either FCR-D upwards or FCR-D

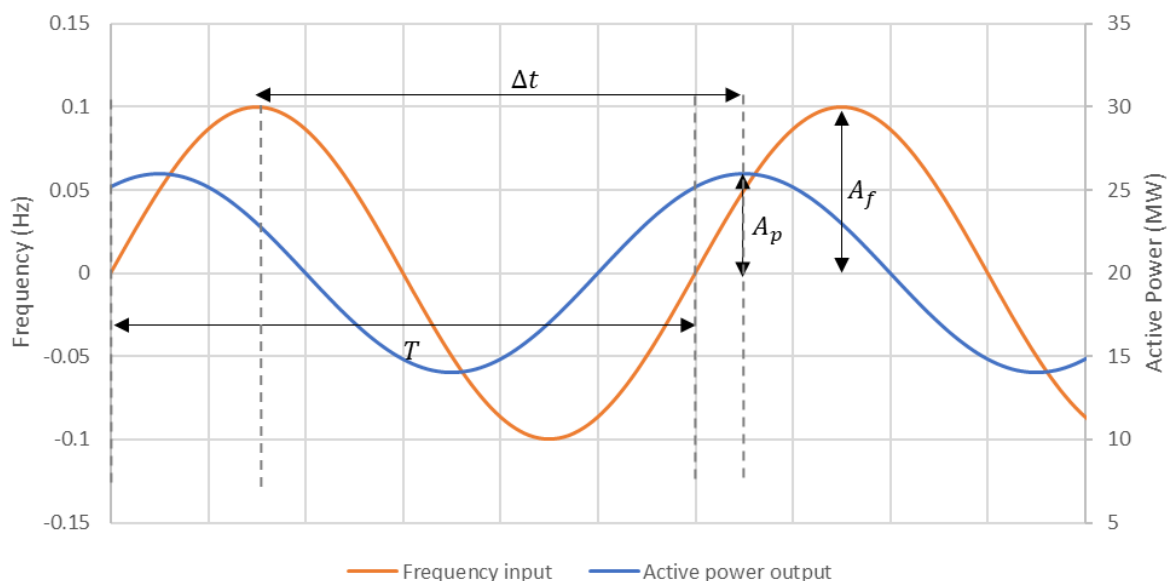
downwards and let the result represent both reserves. The test shall then be performed at the set point where the requirements are hardest to fulfil. If mode shifting is used for FCR-D, care should be taken so that the mode shifting is blocked during the stationary sine periods that are used for evaluation of the requirements. When testing FCR-N, the FCR-D should be disabled and vice versa. The tests should be carried out at the most challenging load level, which is typically high load. The choice of the operating point must be motivated by prior knowledge and approved by the TSO.

The highest droop setting should be used when testing FCR-N and the lowest droop setting should be used when testing FCR-D. The reason for testing FCR-N with high droop is that the small signal behaviour is central for this reserve. High droop leads to small regulations which might be slow or imprecise due to backlash or deadbands in mechanical parts or valves. It is therefore important that FCR-N is not operated with too high droop. The reason for testing FCR-D with low droop is that FCR-D is aimed at handling large disturbances. Low droop leads to large regulations which may be limited by the maximal ramp rate of servos or other equipment. Therefore, low droop is more challenging for FCR-D.

**Table 6. Specification of input signal for sine tests. \*If the controller has the same parameters for FCR-D upwards and FCR-D downwards, sine test of either FCR-D upwards or FCR-D downwards can be used to evaluate both reserves. \*\*Shall be applied for the high stability mode for entities with mode shifting.**

Period, $T$ [s]	N:o stationary periods (recommended total N:o periods)	FCR-N Center freq. = 50 Hz. Amplitude = $\pm 100$ mHz. FCR-N active. FCR-D inactive. Most challenging loading. High droop.	FCR-D upwards* Center freq. = 49.7 Hz. Amplitude = $\pm 100$ mHz. FCR-N inactive. FCR-D upwards active. Most challenging loading. Low droop.	FCR-D downwards* Center freq. = 50.3 Hz. Amplitude = $\pm 100$ mHz. FCR-N inactive. FCR-D downwards active. Most challenging loading. Low droop.
10	5 (20)	x	x	x
15	5 (15)	x	x	x
25	5 (10)	x	x	x
40	5 (7)	x	x	x
50	5 (7)	x	x	x
60	5 (7)	x	x	x
70	5 (7)	x	x	x
90	5 (7)	x	(x)**	(x)**
150	3 (4)	x	(x)**	(x)**
300	2 (3)	x	(x)**	(x)**





**Figure 8.** Example response (blue) from input frequency (orange) for FCR sine test.

For each sine test, 2-5 periods with stationary sine power response should be used to calculate the gain and phase shift from the frequency input signal to the power output signal, as illustrated in Figure 8.

The angular frequency,  $\omega$ , of the sine with period  $T$  seconds is

$$\omega = \frac{2\pi}{T}. \quad (6)$$

The normalized gain of the transfer function from frequency input signal to power output signal,  $\mathbf{F}(j\omega)$ , is calculated as

$$|\mathbf{F}(j\omega)| = \frac{A_P(\omega)}{A_f(\omega)} \frac{|\Delta f_{FCR-X}|}{|\Delta P_{FCR-X,ss,theoretical}|} \quad (7)$$

where

$A_P(\omega)$  is the amplitude of the power response in MW from test with sine frequency  $\omega$ ,

$A_f(\omega)$  is the amplitude of the frequency input signal in Hz from the test with sine frequency  $\omega$ ,

$\Delta f_{FCR-X}$  is the one-sided frequency band (in Hz) for the reserve, i.e. 0.1 Hz for FCR-N and 0.4 Hz for FCR-D, and

$\Delta P_{FCR-X,ss,theoretical}$  is the steady state response of the reserve (in MW) calculated with the provider's steady state response calculation method.

The phase shift in degrees is calculated as

$$\varphi = \text{Arg}(\mathbf{F}(j\omega)) = \Delta t(\omega) \frac{360^\circ}{T} \quad (8)$$

where

$\Delta t(\omega)$  is the time difference in seconds between the input and the output signal from the test with sine frequency  $\omega$  and

$T$  is the period of the sine frequency  $\omega$ .

The normalized transfer function from  $f$  to  $P$  is then

$$F(j\omega) = |F(j\omega)| \cos(\varphi(\omega)) + |F(j\omega)| j \sin(\varphi(\omega)) . \quad (9)$$

If the frequency test signal is generated inside the controller and not applied from an external source, the expression on the right hand side in Eq.9 should be multiplied with a transfer function approximating the dynamics of the frequency measurement equipment,  $F_{FME}(j\omega)$ , derived according to Section 4.4.

To evaluate the stability criterion of FCR-N and FCR-D, the normalized transfer function from  $f$  to  $P$  should be multiplied with the transfer function of the power system,  $G(i\omega)$ , to form the open loop system,  $G_0(j\omega)$ ,

$$G_0(j\omega) = F(j\omega)G(j\omega) . \quad (10)$$

The power system model, with parameters according to Table 7, is

$$G(j\omega) = \frac{\Delta P_{FCR-X}}{\Delta f_{FCR-X}} \frac{f_0}{S_n} \frac{1}{2Hj\omega + K_f f_0} [\text{p.u.}] . \quad (11)$$

**Table 7. Power system parameters.**

Parameter	Description	FCR-N performance (Section 3.3)	FCR-N stability	FCR-D performance	FCR-D stability
$\Delta P_{FCR-X}$ [MW]	FCR-X volume	600 MW	600 MW	1450 MW	1450 MW
$\Delta f_{FCR-X}$ [Hz]	FCR-X one-sided frequency band	0.1 Hz	0.1 Hz	0.4 Hz	0.4 Hz
$f_0$ [Hz]	Nominal frequency	50 Hz	50 Hz	50 Hz	50 Hz
$S_n$ [MW]	Nominal power	42 000 MW	23 000 MW	42 000 MW	23 000 MW
$H$ [s]	Inertia constant	190 000 MWs/ $S_n$ = 4.5238 s	120 000 MWs/ $S_n$ = 5.2174 s	190 000 MWs/ $S_n$ = 4.5238 s	120 000 MWs/ $S_n$ = 5.2174 s
$K_f$ [p.u.]	Load frequency dependence	0.01	0.01	0.01	0.01

The Nyquist curve of the open loop system can now be examined by plotting the open loop system,  $G_0(j\omega)$ , in the complex plane, see Figure 9. The curve between the measured data points shall be constructed by linear interpolation. The FCR provider may choose to perform tests at intermediate sine frequencies to investigate transfer function values in the area otherwise interpolated. The system is stable if the Nyquist curve passes on the right side of and does not encircle the point  $(-1,0j)$ . The stability margin of the system is visualized as the radius of a circle around the point  $(-1, 0j)$  which the Nyquist curve is not allowed to enter.

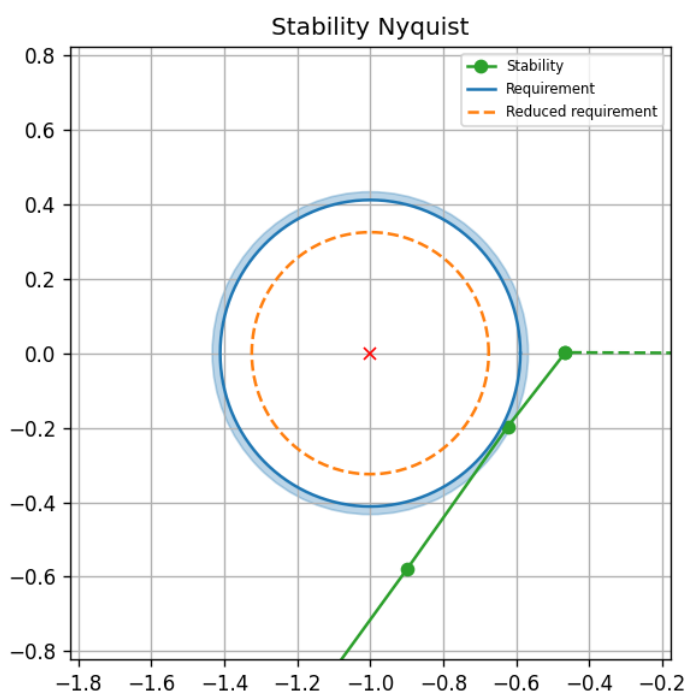


Figure 9. Illustration of the Nyquist stability criterion. The green dots correspond to the open loop system response calculated from each of the sine tests, the green line is an interpolation between those points. To fulfil the stability requirement, the green curve must pass outside and to the right of the light blue circle with radius  $r = 0.43$  drawn around the point  $(-1,0j)$ , which is marked by a red cross. Results that are just slightly inside the light blue circle but still outside the dark blue circle will be accepted. The orange dashed line indicates a possible exemption, applicable for FCR-N, which can be given if simulations and/or test results indicate that the full requirement is not technically feasible.

**Requirement 9:** The Nyquist curve of the normalized open loop system  $G_0(j\omega) = F(j\omega)G(j\omega)$ , shall pass on the right side of a circle with radius 0.43 around the point  $(-1,0j)$  in the complex plane, see Figure 9. A 95 % margin on this requirement is allowed, so that a curve that only just crosses over the circle will be accepted as long as it stays out of the circle with radius  $0.43 \cdot 0.95$ .  $F(j\omega)$  and  $G(j\omega)$  shall be calculated separately for FCR-N, FCR-D upwards and FCR-D downwards (parameters for  $G(j\omega)$  are given in Table 7).

Entities that cannot fulfil the stability requirement for FCR-N with 95% margin can ask the connecting TSO for an exemption to fulfil the FCR-N requirement within a 75% margin. To get such an exemption the provider must show by simulation and/or tests that they have tried to tune the governor to fulfil the requirement before asking for the exemption.

### 3.3 Frequency domain performance requirements

FCR-N and FCR-D should also fulfil a frequency domain performance criterion for the closed loop system including the normalized entity transfer function  $F(j\omega)$  (Eq. 9) and the power system transfer function  $G(j\omega)$  (Eq. 11). The closed loop transfer function,  $G_c(j\omega)$ , with parameters for FCR-N performance according to Table 7, should be smaller than the typical disturbance profile of the system,  $D(s) = \frac{1}{70s+1}$ .

**Requirement 10:**  $G_c(s) = K_{margin} \frac{G_{FCR-X perf}(s)}{1+F(s)G_{FCR-X perf}(s)} < \left| \frac{1}{D(s)} \right|$ .

The parameter  $K_{margin} = 0.95$  is a scaling factor which allows the provider a 95 % margin on the requirement. If the provider is unable to fulfil the frequency domain performance requirement even with the 95 % margin, the provider is allowed to introduce a capacity reduction factor,  $K_{red,dyn}$  on the transfer function so that the requirement is fulfilled. The reduction factor must not be smaller than 0.9 for FCR-N and 0.75 for FCR-D. The requirement then becomes

$$\text{Requirement 10, with reduction factor: } G_c(s) = K_{red,dyn} \cdot K_{margin} \frac{G_{FCR-Xperf}(s)}{1+F(s)G_{FCR-Xperf}(s)} < \left| \frac{1}{D(s)} \right|$$

The capacity of the entity is then reduced with the minimum of the reduction factor calculated here and the reduction factor (if any) calculated for the steady state performance in section 3.1.1 (FCR-N) or section 3.1.2 (FCR-D).

The frequency domain performance requirement is illustrated in Figure 10. The magnitude of the closed loop transfer function should stay below the magnitude of the disturbance profile  $D(j\omega)$ , i.e. the requirement curve.

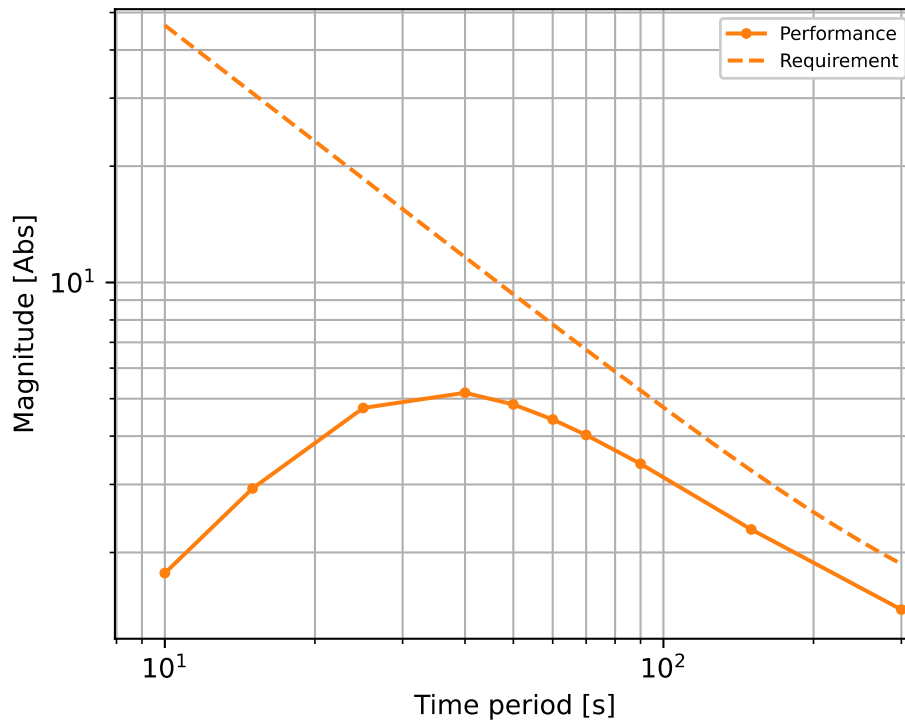


Figure 10. Example response of transfer function values (orange dots), interpolated transfer function (orange solid line) of the closed loop response, which qualifies for the performance requirement (orange dashed line). The dashed line shows the requirement for FCR-N, FCR-D is similar.

### 3.4 Linearity requirements

The activation and deactivation of FCR should follow a droop curve, where the power output increases with decreasing grid frequency, and decreases with increasing grid frequency. For loads, the power consumption should increase with increasing grid frequency and decrease with decreasing grid frequency. In steady state, the change in power output shall be close to proportional to the negative frequency deviation,

$$\Delta P_{FCR} = -\frac{1}{e_p} \Delta f, \quad (12)$$

where  $e_p$  is the permanent droop of the controller.

The controller shall be designed to make the reserve follow the steady state target response (Eq. 12) as closely as possible<sup>7</sup> and have a dynamic behaviour that is as linear as possible (respecting dead-band and saturation). Deviations from the target response are sometimes unavoidable, and hence allowed if caused by uncertainties in the response, natural variations in production/consumption, or due to fixed step sizes of the resources connected to the relay.

### 3.4.1 Dynamic linearity requirement

The performance and stability requirements on FCR are based on the assumption that the system and the reserves are linear enough to be analysed with linear theory. The frequency domain requirements are only relevant if the reserve responds in a sufficiently linear way to a frequency disturbance, i.e. that the sinusoidal test signal results in a sinusoidal response with the same period as the input signal. Entities that are unable to dynamically respond linearly to the sine test are classified as “Static FCR” and should perform the static linearity test and fulfil the static linearity requirement instead of the dynamic linearity requirement.

The dynamic linearity requirement is evaluated with the sine test. For each tested period of the input signal, a sine with the same period is fitted to the power response data using the least squares method. The baseline power should be subtracted from the measured power, so that the fitted sine is centred on zero. An example is given in Figure 11.

The amplitude and phase of the fitted sine are compared to the amplitude and phase of the input signal as used for the frequency domain analysis described in sections 3.2-3.3. The measured power,  $P_{mv}$ , is then compared to the fitted sine,  $P_{est}$ , for each period separately. The root mean square error of the fitted sine compared to the measured power, normalized with the standard deviation of the fitted sine, should be smaller than one.

<b>Requirement 11:</b>	$\frac{\sqrt{\sum_{t=1}^N  P_{mv}(t) - P_{est}(t) ^2}}{\sqrt{\sum_{t=1}^N  P_{est}(t) - \frac{1}{N} \sum_{t=1}^N P_{est}(t) ^2}} < 1$
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If the controller is implemented such that the output signal of the controller is close to zero for shorter periods, the reserve connecting TSO may grant an exemption to the above requirement for those periods. This applies in cases where the output signal can be shown to be close to zero, per design and in actual measurement. The design of the controller has to be deemed reasonable, especially with regards to linearity, and not endangering the purpose of the technical requirements.

<sup>7</sup> For entities using controllers commonly not utilising power feedback the response may be allowed some deviations outside the blue area, as shown in Figure 12 and Figure 15 in the following sections. This applies where the choice of controller is motivated on a technical basis and the derivative of the response is limited (locally linear), e.g. that the entity will always provide a response even for small changes in frequency.

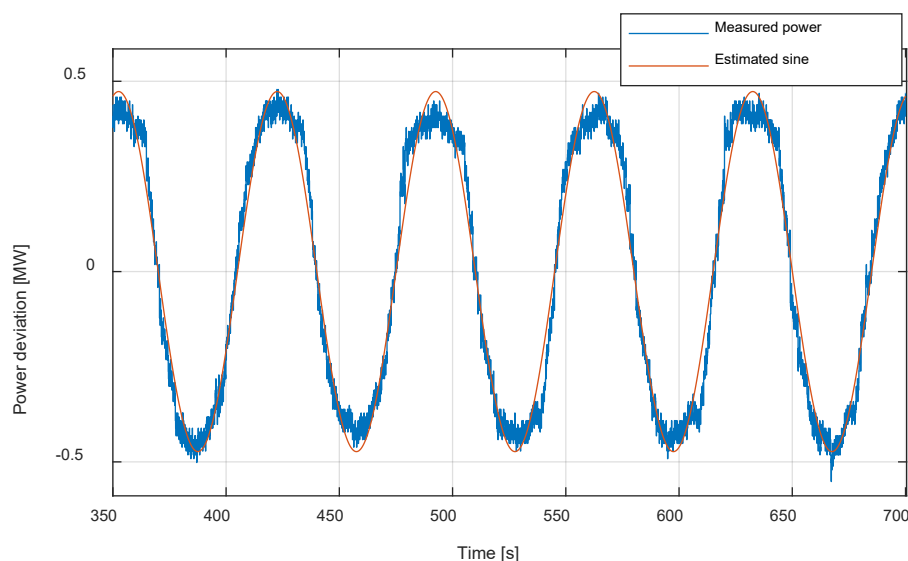


Figure 11. Example of the measured power from a sine test and the fitted sine.

### 3.4.2 Linearity requirement for static or non-continuously controlled resources

Resources that cannot be continuously controlled, such as relay connected resources, shall activate their FCR contribution based on a monotonic piecewise linear power-frequency characteristic with a steady state response that deviates maximally 5% in the direction of underdelivery and 10% in the direction of overdelivery, i.e. the blue area in Figure 12 and Figure 14 respectively. For the test for FCR-N this means that the number of steps has to be at least 10 (5 in each direction), and for FCR-D least 4 steps for each direction. This requirement and the tests described in this section applies to all entities that are non-continuously controlled (FCR-D and FCR-N), and all Static FCR-D independent on if they are continuously controlled or not. Entities providing FCR-D are allowed to continue to linearly increase their activation beyond the frequencies of 49.5 Hz and 50.5 Hz, respectively. In such a case the behaviour must be accordingly reported in the prequalification documentation.

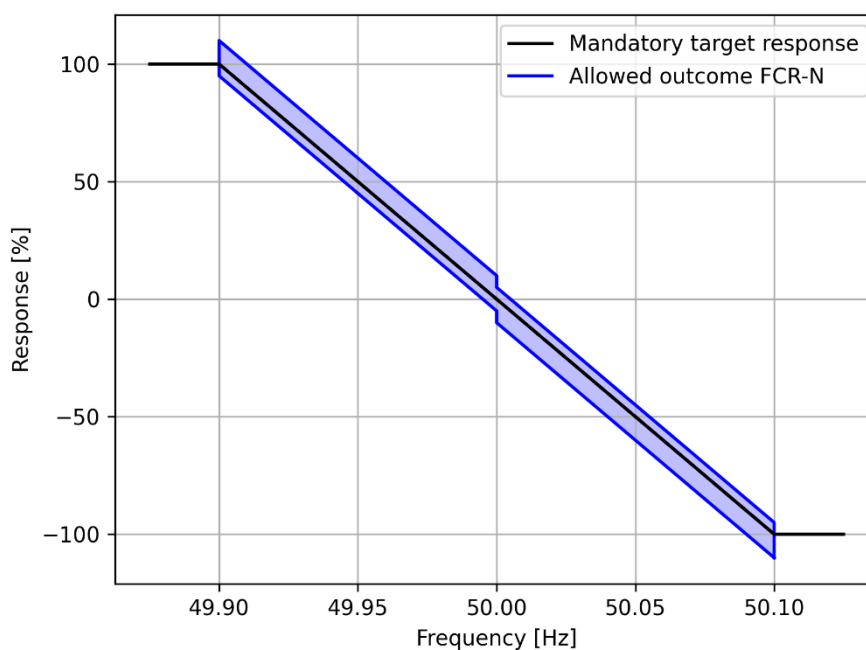
#### FCR-N linearity

Piecewise FCR-N resources have to activate their contribution within the blue area in Figure 12 below. For stepwise activated resources this means that the number of steps has to be at least 14. The black line in the figure indicates the mandatory steady state target response for the controller. The controller shall aim to be as close and centred as possible to the target response. Deviations from the target response are allowed if caused by uncertainties in the response, natural variations in production/consumption, or due to fixed step sizes of the resources connected to the relay.

The coordinates for the corners of the blue area in Figure 12 are provided in Table 8 below. The coordinates are given clockwise starting from the minimum activation at 50.1 Hz. The full requirement is calculated via linear interpolation of the provided coordinates.

**Table 8. Coordinates of the corners in Figure 12.  
Clockwise starting from the maximal activation at 50.1 Hz.**

Frequency [Hz]	Response [%]
50.10	110
50.00	10
50.00	5
49.90	-95
49.90	-110
50.00	-10
50.00	-5
50.10	95
50.10	110



**Figure 12. Activation of piecewise linear FCR-N resources. The black line indicates the mandatory target response. The controller shall be designed to minimise the deviation from the target response. The blue area defines the allowed outcome of the deviations, due to e.g. non-linear effects or step sizes for relay connected loads. The coordinates of the corners are provided in Table 1 below.**

Resources with non-continuous response shall perform a special linearity test to show that they stay in the allowed response area for the steady state response. The test signal is a sequence of grid frequency steps of 20 mHz per step, i.e. from 50.0 Hz → 49.98 Hz → 49.96 Hz → 49.94 Hz → 49.92 Hz → 49.90 Hz, and up to 50.1 Hz and back to 50.0 Hz, as shown in Figure 13.

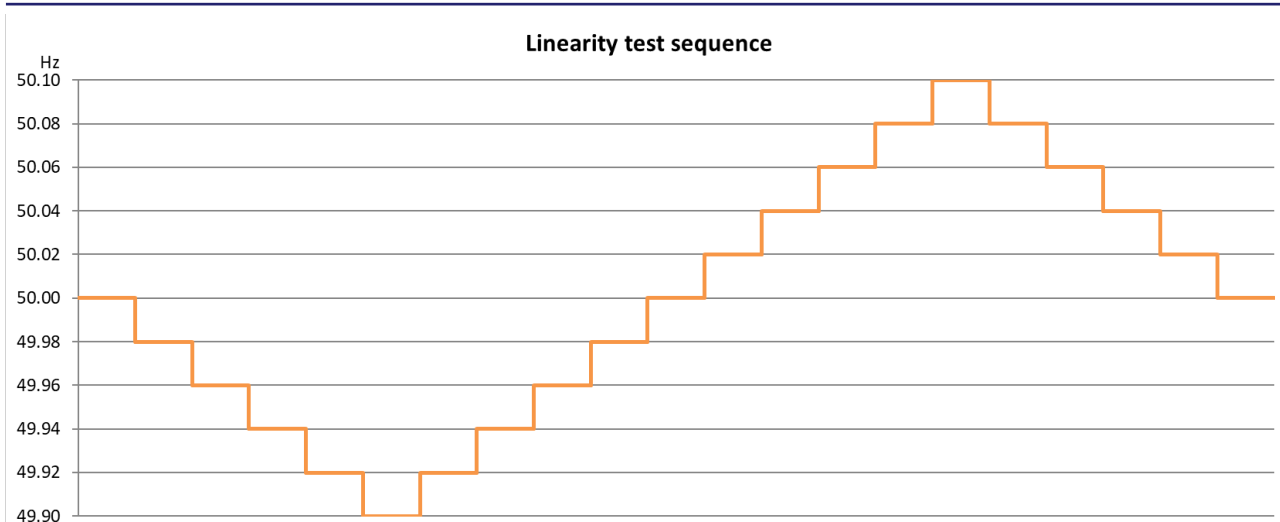


Figure 13. Grid frequency test signal for the FCR-N special linearity test.

The FCR response, when it has reached steady state, must stay close to the proportional response to the frequency deviation. For upwards regulation (frequency below 50 Hz) the requirement is +10 % and -5 % referring to  $\Delta P_{ss,theoretical}$ . For downwards regulation (frequency above 50 Hz) the requirement is +5 % and -10 % referring to  $\Delta P_{ss,theoretical}$ . To avoid including very short variations in the FCR response, a 10 second moving average of the FCR response is assessed for 60 seconds, starting 60 seconds after a step in the frequency. The provider is allowed to wait longer (up to 4 minutes) if steady state is not reached in 60 seconds, and the moving average is then assessed during the last 60 seconds. The minimum sampling rate is described in Subsection 4.3.

Figure 14 depicts the allowed response area for the moving average, for the frequency steps from 49.92 Hz  $\rightarrow$  49.90 Hz  $\rightarrow$  49.92 Hz. The same principles apply for all the steps.

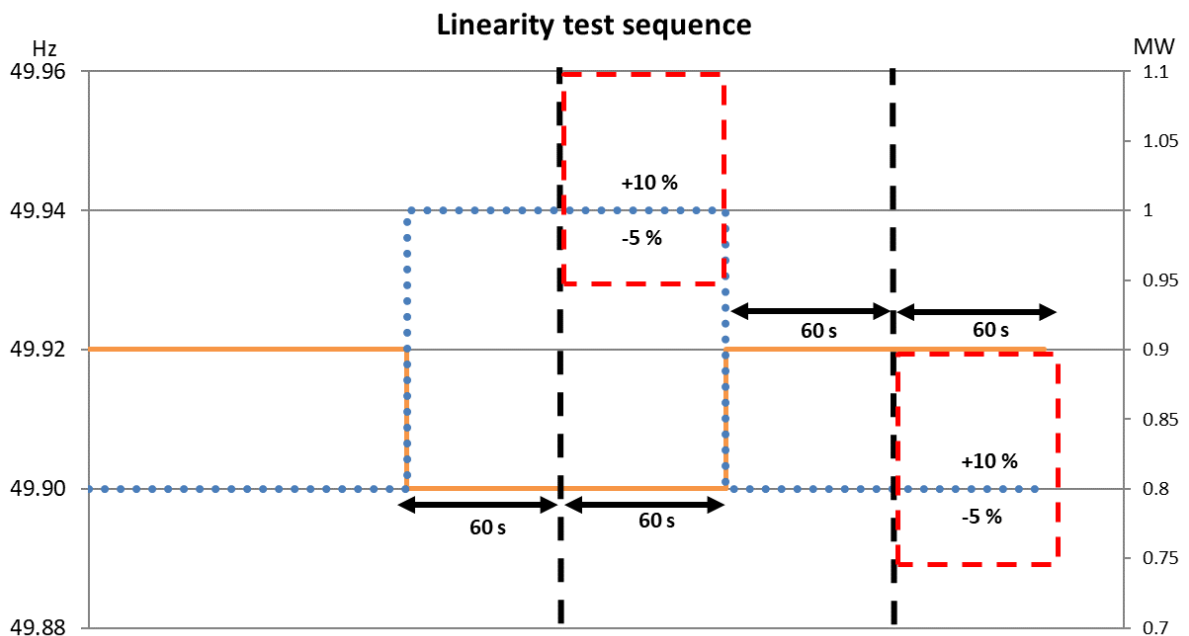


Figure 14. Allowed response area for FCR-N for the frequency steps from 49.92 Hz  $\rightarrow$  49.90 Hz  $\rightarrow$  49.92 Hz. The orange line is the frequency step. The blue dotted line is the directly proportional FCR response. The red dashed squares indicate the allowed response area.

<b>Requirement 12:</b>	$0.95 \leq \frac{ \Delta \bar{P} }{ \Delta P_{ss,theoretical} } \frac{0.1}{ \Delta f } \leq 1.1$
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where

$\Delta P_{ss,theoretical}$  is the steady state FCR activation for a full response calculated with the provider's steady state response calculation method. For frequencies below 50 Hz it is positive and for frequencies above 50 Hz it is negative for production units, and vice versa for consumption.

$\Delta f$  is the frequency deviation from 50 Hz for the evaluated step

$\Delta \bar{P}$  is the moving average of the provided FCR for the evaluated step at time  $t$ , calculated as:

$$\Delta \bar{P}(t) = \frac{1}{k} \sum_{i=t-k/2}^{t+k/2} \Delta P_{FCR,i} \quad (13)$$

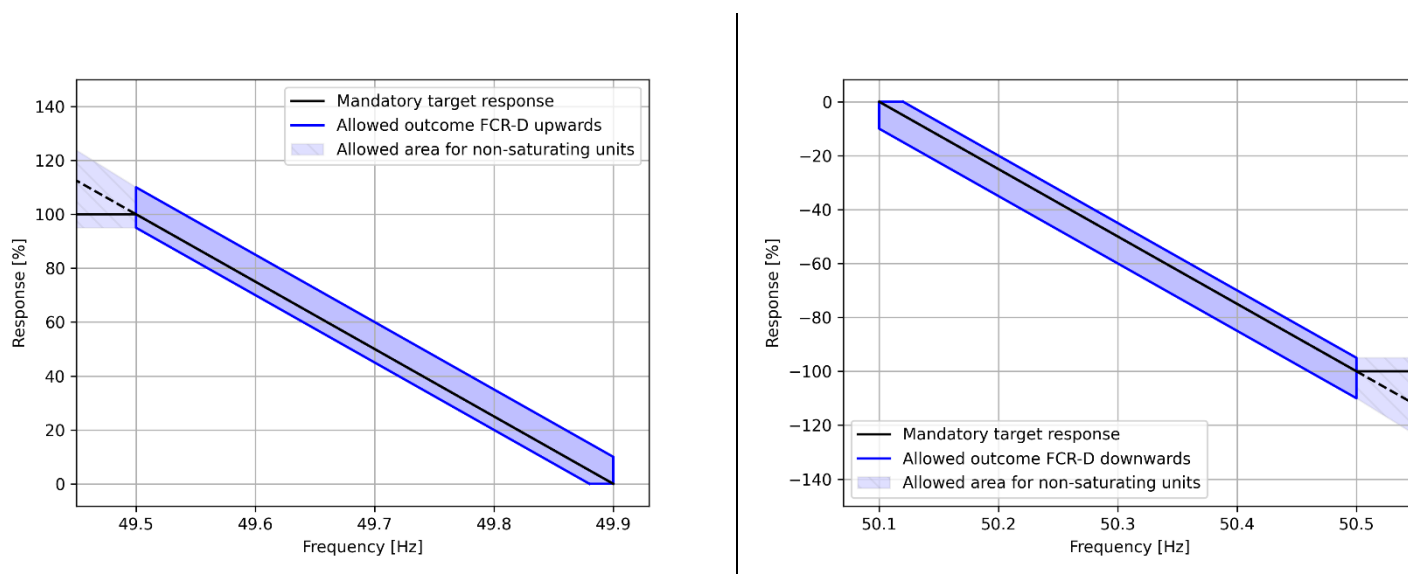
where

$k$  is the width of the moving average, equal to 10 seconds. Hence, the number of values is depending on the sampling rate. The minimum sampling rate is described in Subsection 4.3.

$\Delta P_{FCR}$  is the delivered FCR

The moving average  $\Delta \bar{P}(t)$  must stay within the required limits from  $t = 60$  seconds to  $t = 120$  seconds after the step, for all frequency steps.

### FCR-D linearity



**Figure 15. Activation of piecewise linear FCR-D resources.** The black line indicates the mandatory target response. The controller shall be designed to minimise the deviation from the target response. The blue area defines the allowed outcome of the deviations, due to e.g. non-linear effects or step sizes for relay connected loads. The coordinates of the corners are provided in Table 9 below

FCR-D resources have to contribute within the blue area in Figure 15. For stepwise activated resources this means that the number of steps for the test has to be at least 7, for each direction. More steps shall be implemented in the controller, at least 7. The black line in the figure indicates the mandatory target response for the controller. The controller shall aim to be as close and centred as possible to the target response. Deviations from the target response are allowed if caused by uncertainties in the response, natural variations in production/consumption, or due to step sizes of the resources connected to the relay.

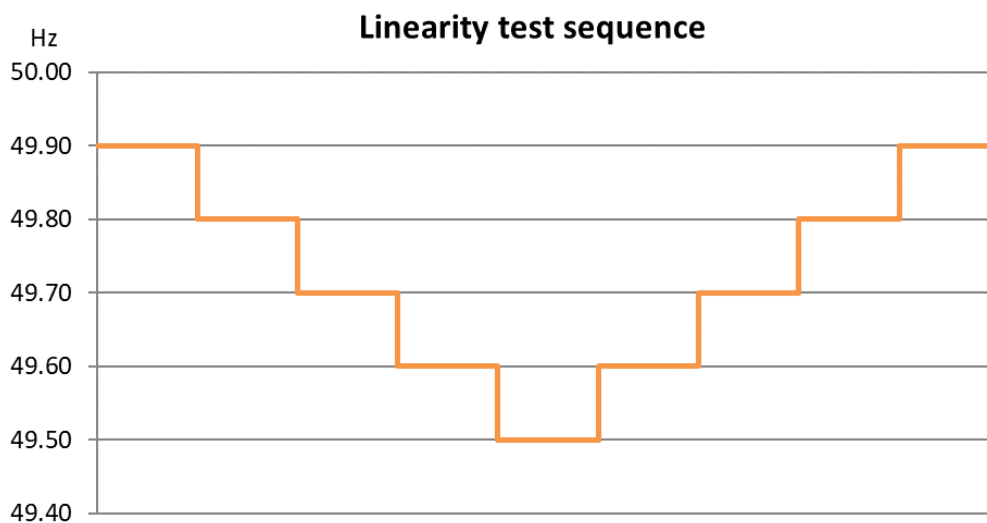
The coordinates for the corners of the blue areas in Figure 15 are provided in Table 9 below. The coordinates are given clockwise starting from the minimum activation at 49.88 Hz and 50.12 Hz respectively. The full requirement is calculated via linear interpolation of the provided coordinates. Any

uncertain response at 49.9 Hz and 50.1 Hz respectively will not be counted as available capacity, see section 3.10.

**Table 9. Coordinates of the corners in Figure 15. Clockwise starting from the minimum activation at 49.88 Hz and 50.12 Hz respectively. Left FCR-D upwards regulation, right FCR-D downwards regulation.**

Frequency [Hz]	Response [%]	Frequency [Hz]	Response [%]
49.88	0	50.12	0
49.50	95	50.50	-95
49.50	110	50.50	-110
49.90	10	50.10	-10
49.90	0	50.10	0
49.88	0	50.12	0

Resources with a non-continuous response and/or providing static FCR-D shall perform a special linearity test to show that they stay in the allowed response area for the steady state response. The test sequence for FCR-D upwards is plotted in Figure 16 and FCR-D downwards in Figure 17. The test signal is a sequence of grid frequency steps of 100 mHz per step, i.e. for FCR-D upwards from 49.9. Hz → 49.8 Hz → 49.7 Hz → 49.6 Hz → 49.5 Hz, and back to 49.9 Hz.



**Figure 16. Grid frequency test signal for the FCR-D upwards linearity test.**

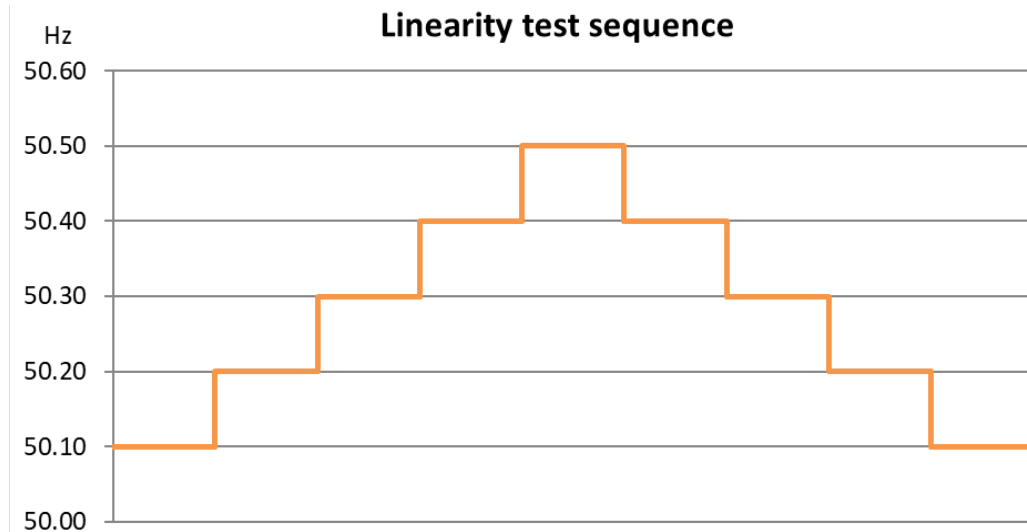


Figure 17: Grid frequency test signal for the FCR-D downwards linearity test.

The FCR response, when it has reached steady state, must stay close to the proportional response to the frequency deviation. For upward regulation (frequency below 50 Hz) the requirement is +10 % and -5 % referring to  $\Delta P_{ss,theoretical}$  for a full activation. For downward regulation (frequency above 50 Hz) the requirement is +5 % and -10 % referring to  $\Delta P_{ss,theoretical}$  for a full activation. To avoid including very short variations in the FCR response, a 10 second moving average of the FCR response is assessed 60 seconds after a step in the frequency. The moving average is assessed for 60 seconds, hence there has to be 120 seconds between the steps. The minimum sampling rate is described in Subsection 4.3.

Figure 18 depicts the allowed response area for the moving average, for the frequency steps from 49.6 Hz  $\rightarrow$  49.5 Hz  $\rightarrow$  49.6 Hz. The same principles apply for all the steps.

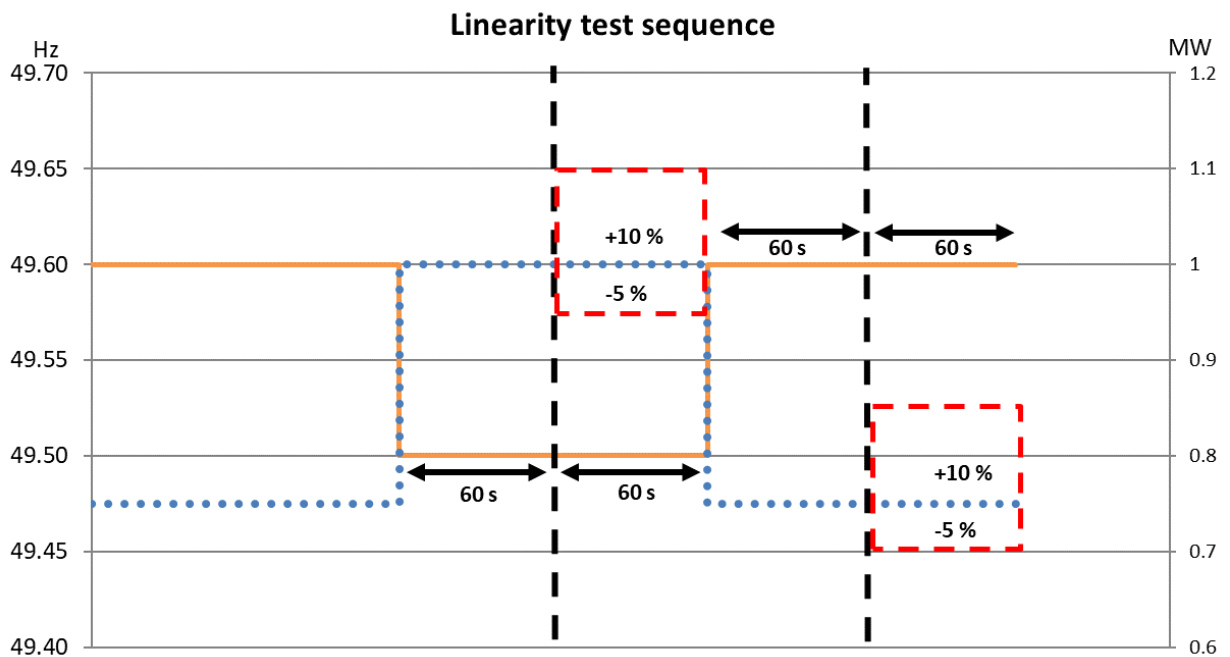


Figure 18. Allowed response area for FCR-D for the frequency steps from 49.6 Hz  $\rightarrow$  49.5 Hz  $\rightarrow$  49.6 Hz. The orange line is the frequency step. The blue dotted line is the directly proportional FCR response per MW. The red dashed squares indicate the allowed response area.

<b>Requirement 12:</b>	$0.95 \leq \frac{ \Delta P }{ \Delta P_{ss,theoretical} } \frac{0.4}{ \Delta f } \leq 1.1$
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### 3.5 Endurance and limited energy reservoirs, LER

The FCR response shall remain activated as long as the frequency deviation persists<sup>8</sup>. This is required also of FCR providing entities with a limited energy reservoir.

The FCR provider shall in the application document the limitations of the energy reservoir in accordance with instructions from the reserve connecting TSO. The application shall also describe the implementation of an energy management solution, including the recovery process, to be approved by the TSO. Use of energy management functions shall not interfere with the ability to provide FCR.

FCR providing entities with an energy reservoir that is smaller than the equivalent of a continuous full activation of the prequalified FCR capacity for two hours are classified as LER (limited energy reservoir) and must implement the energy management solution described in section 3.5.1 and 3.5.2. Such entities must reserve power in both directions (activation and deactivation direction) for energy management as described in Table 10 below. FCR providing entities with an energy reservoir where the endurance for full activation exceeds two hours may implement the same energy management solutions, or during prequalification propose other solutions of similar effect, to be approved by the reserve connecting TSO.

FCR providing entities classified as LER which have an energy reservoir that is not replenished from the power grid may suggest an alternative energy management solution with similar effect, to be approved by the TSO.

**The described prequalification requirements in the following sections for LER concerning minimum energy storage, additional capacity to activate the energy management states and the functioning of the energy management states for FCR-N and FCR-D are still under development.**

The requirements below are based on the principles from continental Europe, however further analyses have revealed that the principles may not be directly implementable for the Nordics. Differences for historical and expected future frequency deviations of the synchronous areas, and the frequency range of the reserve (deadband and saturation) makes the activation pattern and energy content significantly different. The frequency range of the reserves compared to the normal frequency band also differs between the synchronous areas.

The main aim of the requirements is to ensure reliable FCR provision from LER, with low risk of unavailability due to depletion of the energy reservoir. The secondary aim of the TSOs is to, while preserving the utility of the FCR function, minimise the activation rate of the energy management functions, and the reserved energy and power to activate the functions. The first part to reduce the negative impact on the regulating capabilities of the total reserve portfolio in the system, and the second part to ensure efficient use of the FCR provided from LER.

**The current included prequalification requirements are expected to be updated before implementation of the new methodology, after a revisit of the design principles. The review will include the activation criteria for the energy management functions, and the values stated in Table 10 below. However, the over-all design with one energy management function for Normal state (NEM), and one for Alert state (AEM), will remain as indicated below and in accordance with the proposed methodology to be approved by the NRAs.**

<sup>8</sup> In accordance with SO GL article 156.7-9

**Table 10. Required power and energy reserve for FCR-N and FCR-D.  $C_{FCR}$  is the FCR capacity. Note that the values are under review and are expected to be updated. For more information, see the text box above.**

	FCR-N <sup>9</sup>	FCR-D upwards	FCR-D downwards
Required power upwards [MW]	$+1.34 \cdot C_{FCR-N}$	$+C_{FCR-Dupwards}$	$+0.20 \cdot C_{FCR-Ddownwards}$
Required power downwards [MW]	$-1.34 \cdot C_{FCR-N}$	$-0.20 \cdot C_{FCR-Dupwards}$	$-C_{FCR-Ddownwards}$
Required energy upwards [MWh]	$1h \cdot C_{FCR-N}$	$\frac{1}{3}h \cdot C_{FCR-Dupwards}$	0
Required energy downwards [MWh]	$1h \cdot C_{FCR-N}$	0	$-\frac{1}{3}h \cdot C_{FCR-Ddownwards}$

FCR-N provision from an FCR providing entity with a limited energy reservoir (LER) shall be continuously available during the whole contractually agreed delivery period, currently increments of 1 hour. The endurance requirement for full activation of FCR-N is minimum 60 minutes in both directions ( $T_{min N} = 60$  min). Recharging and discharging of FCR-N is mainly handled by natural frequency deviations, as FCR-N is a symmetric product. Normal state energy management shall be applied in accordance with section 3.5.1, if the natural frequency deviations are not such that the energy content is close to zero.

FCR-D provision from an FCR providing entity with limited energy reservoirs (LER) shall be continuously available in normal state. As of triggering of alert state<sup>10</sup> and during the alert state, each FCR-D providing entity with limited energy reservoirs shall be able to fully activate FCR continuously for a time period of 15 minutes<sup>11</sup> ( $T_{min,LER D} = 15$  min). As FCR-D may be fully activated in both normal state and alert state, the total endurance requirement for FCR-D thus becomes minimum 20 minutes ( $T_{min D} = 20$  min).

The power and energy capacity reservations apply separately for FCR-N, FCR-D upwards and FCR-D downwards, in case of simultaneous provision of several products. For example, if both FCR-D upwards and FCR-D downwards are provided at the same time, the two corresponding columns of Table 10 shall be summed to get the total power and energy reservation.

When performing the endurance tests as described in section 3.1, the SoC enable and disable thresholds of the energy management modes must be reached to demonstrate the functionality of the energy management systems. If the duration of the test is not sufficient if the storage is well above minimum requirements, the test can either be prolonged or the SoC can be close to the threshold at the beginning of the test.

When providing reserve from LER entities the SoC must be close to 50 % at the start of a period of symmetrical provision, and close to 0 or 100 % at the start of a period of asymmetrical provision.

<sup>9</sup> The numbers in this table are under review, especially concerning FCR-N. The energy reservation has been increased compared to the previous version, to indicate that the previous number has been shown to be unrealistic. However, both the power and energy reservations need to be analysed further, and may change prior to implementation of these requirements in the prequalification process.

<sup>10</sup> Conditions for triggering of alert state are defined in SO GL article 18.2(c). Alert state trigger time is defined to be 5 minutes in accordance with SO GL article 127.

<sup>11</sup> In accordance with SO GL article 156.10.

### 3.5.1 Normal state energy management (NEM)

FCR-N and FCR-D providing entities with limited energy reservoirs shall implement a Normal State Energy Management (NEM) scheme. The purpose of NEM is to ensure that there is enough energy available in the reservoir to activate FCR, and to minimize any imbalances caused by the State of Charge (SOC) management.

The NEM is allowed to change the baseline (setpoint) of the entity providing FCR-N or FCR-D to restore the SOC. NEM is only allowed to activate in normal state, i.e. when the frequency is within the standard frequency range ( $\pm 100$  mHz of the nominal frequency, “the normal band”). When the frequency is outside of the standard frequency range for a longer time, and thus in alert state, the NEM mode shall be disabled. If the entity is close to full depletion during a long-lasting frequency deviation in alert state, the entity must switch to *Alert state Energy Management (AEM)* mode (see 3.5.2).

The FCR providing entity shall enter NEM when the frequency is within the standard frequency range and the State of Charge (SOC) of the entity is outside of the range  $[SOC_{enable,NEM,lower}, SOC_{enable,NEM,upper}]$ . The NEM should be disabled when the entity reaches a state of charge within the range  $[SOC_{disable,NEM,lower}, SOC_{disable,NEM,upper}]$  or if the frequency leaves the standard frequency range. The SOC is defined as the energy currently in the storage over the maximal energy the storage can hold and provide as FCR, i.e.  $E_{actual}/E_{max}$ .

For FCR-D, NEM should be enabled as soon as the remaining endurance is less than 20 minutes in upwards direction for FCR-D upwards or in the downwards direction for FCR-D downwards, if the frequency is within the standard frequency band. For FCR-N, NEM should be enabled when the remaining endurance is 15 minutes if the frequency is within the standard frequency band. The NEM should be disabled when the remaining endurance (after the ramping down of NEM) is 57.5 minutes or when the frequency leaves the standard frequency band. The SOC thresholds for enabling and disabling NEM are given in Table 11.

**Table 11. State of charge when the NEM and AEM should be enabled and disabled for each reserve.  $C_{FCR-X}$  [MW] is the FCR provision (the FCR obligation from the market result) and  $E$  [MWh] is the energy storage capacity used for FCR provision. \* The SOC threshold for disabling NEM shall be modified, if the entity has a reservoir larger than the minimum volume, such that nominal state of charge can be restored with NEM, and that NEM is smoothly disabled over 5 minutes when that level is about to be reached.**

	FCR-N	FCR-D upwards	FCR-D downwards
SOC enable AEM, upper	$1 - C_{FCR-N} \cdot \frac{5/60}{E}$	N.A.	$1 - C_{FCR-D} \cdot \frac{5/60}{E}$
SOC disable AEM, upper	$1 - C_{FCR-N} \cdot \frac{10/60}{E}$	N.A.	$1 - C_{FCR-D} \cdot \frac{10/60}{E}$
SOC enable NEM, upper	$1 - C_{FCR-N} \cdot \frac{15/60}{E}$	N.A.	$1 - C_{FCR-D} \cdot \frac{20/60}{E}$
SOC disable NEM, upper*	$1 - C_{FCR-N} \cdot \frac{57.5/60}{E}$	N.A.	$1 - C_{FCR-D} \cdot \frac{20/60}{E}$
SOC disable NEM, lower*	$C_{FCR-N} \cdot \frac{57.5/60}{E}$	$C_{FCR-D} \cdot \frac{20/60}{E}$	N.A.
SOC enable NEM, lower	$C_{FCR-N} \cdot \frac{15/60}{E}$	$C_{FCR-D} \cdot \frac{20/60}{E}$	N.A.
SOC disable AEM, lower	$C_{FCR-N} \cdot \frac{10/60}{E}$	$C_{FCR-D} \cdot \frac{10/60}{E}$	N.A.
SOC enable AEM, lower	$C_{FCR-N} \cdot \frac{5/60}{E}$	$C_{FCR-D} \cdot \frac{5/60}{E}$	N.A.

The storage, E, that is available for reserve provision is referring to the operational range of the storage that the provider will utilise to provide the reserve. Hence, not the nominal capacity of the storage.

When entering or leaving the conditions where NEM is allowed, the current value for the amount of energy management shall be calculated from a rolling mean of the  $NEM_{Allowed}$  over the last 5 minutes, with 1 second resolution.

$$NEM_{Allowed} = \begin{cases} -1, & \text{if } 49.9 < f < 50.1 \text{ and } SOC < SOC_{NEM,lower,enable/disable} \\ 1, & \text{if } 49.9 < f < 50.1 \text{ and } SOC > SOC_{NEM,upper,enable/disable} \\ 0, & \text{otherwise} \end{cases}$$

$$NEM_{Current}(t_i) = \frac{1}{N} \sum_{n=1}^{N=300} NEM_{Allowed}(t_{i-n}) \quad (14)$$

For FCR-N, when  $NEM_{Current}(t_i) \neq 0$ , the entity should change its power setpoint,  $P_{tot,FCR-N}$ , such that the SOC will be restored:

$$P_{tot,FCR-N} = P_{FCR-N} + P_{NEM} = P_{FCR-N} + 0.34 \cdot C_{FCR-N} \cdot NEM_{Current} \quad (15)$$

When NEM is fully activated, i.e.  $NEM_{Current}(t_i) = \pm 1$ , the power setpoint will be changed such that  $P_{tot}$  either reduce the rate of which SOC is approaching its limit or reverses the direction, depending on the current FCR-N contribution  $P_{FCR-N}$ . This way, the available energy in the limiting direction will be increased compared to the reference, ensuring that the dynamic FCR-N performance of the entity will be conserved and continuously available in normal state. To be able to achieve this, the FCR-N providing entity with LER has to reserve a power capacity equal to 34 % of the FCR-N provision, which cannot be utilised for other purposes (see requirement in Table 10).

FCR-D providing entities with partially or fully depleted energy reservoirs shall restore full nominal capacity within 120 minutes of the allowed start of recovery. Hence, the FCR-D NEM requires that at least 20 % of the prequalified power capacity is reserved in the opposite direction to ensure timely restoration of the endurance (see requirement in Table 10). For FCR-D, when  $NEM_{Current}(t_i) \neq 0$ , the entity should change its power setpoint,  $P_{tot,FCR-D}$ , such that the SOC will be restored:

$$P_{tot,FCR-D} = P_{FCR-D} + P_{NEM} = P_{FCR-D} + 0.20 \cdot C_{FCR-D} \cdot NEM_{Current} \quad (16)$$

The provider may choose a higher recharging/discharging rate, up to a maximum of 34 %.

### 3.5.2 Alert state Energy Management (AEM)

The FCR providing entity shall enter *Alert state Energy Management* mode (AEM) when the State of Charge (SOC) of the entity is outside of the range  $[SOC_{enable,AEM,lower}, SOC_{enable,AEM,upper}]$ . The AEM should be disabled when the entity reaches a state or charge within the range  $[SOC_{disable,AEM,lower}, SOC_{disable,AEM,upper}]$ . In AEM the frequency reference used to calculate the FCR provision is modified as follows:

$$f_{AEM} = \begin{cases} f_0, & \text{if } SOC \in [SOC_{AEM,lower}, SOC_{AEM,upper}] \\ f(t), & \text{otherwise} \end{cases} \quad (17)$$

The range for the SOC is chosen such that the entity shall have enough time to smoothly deactivate its steady-state response over a time period of 5 minutes. The values are given in Table 11.

When entering or leaving the conditions where AEM is allowed, the current value for the frequency reference shall be calculated from a rolling mean of the  $f_{AEM}$  over the last 5 minutes, with 1 second resolution,

$$f_{ref} = \frac{1}{N} \sum_{n=1}^{N=300} f_{AEM}. \quad (18)$$

When  $f_{ref} \neq f_0 = 50.0$  Hz, the entity should calculate its power set point based on a frequency reference equal to  $f_{ref}$  instead of the usual reference  $f_0 = 50$  Hz,

$$P_{FCR-X}(t) = C_{FCR-X} \cdot \Delta f(t) = C_{FCR-X} \cdot (f_{ref} - f(t)). \quad (19)$$

For FCR-D, the dead band of  $\pm 100$  mHz shall be calculated from  $f_0$ , i.e. kept in the absolute range of [49.9, 50.1].

An entity in AEM will be regarded as unavailable, which shall be duly reported to the reserve connecting TSO.

### 3.5.3 Endurance calculation with LER

Entities with a limited activation capability shall, in real time, calculate and report the endurance of the FCR reserve, if required by the relevant TSO. The endurance of FCR-N is the minimum of the upwards and downwards endurance. For FCR-D upwards only the upwards endurance is calculated and for FCR-D downwards only the downwards endurance is calculated.

The upwards endurance of FCR-X (the time until an entity providing FCR-X is limited) is calculated as

$$L_{FCR-X \text{ endurance, upwards}} = \left| \frac{E_{\text{current reservoir}} - E_{\text{reservoir min}}}{P_{\text{setpoint} + C_{FCR-X \text{ upwards}(sp,ep)} - P_{\text{reservoir inflow}}} \right| \cdot 60 \text{ [minutes]} \quad (20)$$

and the downwards endurance of FCR-X is calculated as

$$L_{FCR-X \text{ endurance, downwards}} = \left| \frac{E_{\text{reservoir max}} - E_{\text{current reservoir}}}{P_{\text{reservoir inflow}} - P_{\text{setpoint} + C_{FCR-X \text{ downwards}(sp,ep)}} \right| \cdot 60 \text{ [minutes]} \quad (21)$$

with the notation

$E_{\text{reservoir max}}$  is the reservoir current maximum storage threshold/limit [MWh],

$E_{\text{reservoir min}}$  is the reservoir current minimum storage threshold/limit [MWh],

$E_{\text{current reservoir}}$  is the current reservoir level [MWh],

$P_{\text{reservoir inflow}}$  is the current reservoir inflow if applicable [MW],

$L_{FCR-X \text{ endurance}}$  is the current endurance [minutes].

$C_{FCR-X \text{ upwards}(sp, ep)}$  is the provided FCR-X in the upwards direction, and

$C_{FCR-X \text{ downwards}(sp, ep)}$  is the provided FCR-X in the downwards direction

$(sp, ep)$  is the setpoint (loading) and the droop, respectively.

Note that the factor 60 in the equations is used to convert from hours to minutes.

For FCR providing entities, limited due to something other than reservoir restrictions, the calculations shall be performed in a similar fashion but with the applicable modifications to the procedure, to be approved by the TSO.

## 3.6 Simultaneous delivery of several reserves or functions

An entity providing several reserves (e.g. FCR, FRR, FFR, and LFSM) at the same time shall always activate each of these reserves according to their individual prequalification/specification, and the total



power of the entity should reflect the sum of the reserves. The baseline of the entity must allow full activation of all the contracted reserves at the same time.

### 3.6.1 Combination of FCR-N and FCR-D

In steady state, an entity providing both FCR-N and FCR-D shall activate the sum of FCR-N and FCR-D at any frequency deviation, see Figure 19. For entities with one controller that switches the control parameters between the products, this implies that the droop setting must be the same in both parameter sets.

It is recommended that the controller structure is implemented such that all three FCR products are individually controllable, i.e. delivered from separate controllers for each product. If the entity has only one controller that switches between FCR-N and FCR-D control parameters, it must switch from FCR-N parameters to FCR-D parameters when the frequency crosses 49.9 Hz or 50.1 Hz without intentional delay. For switching back from FCR-D to FCR-N there can be a delay after the frequency has returned within the 49.9-50.1 Hz band. The delay may be up to 30 seconds, but the recommended value is 15 seconds.

The switching of the parameters can be done in an arbitrary way, given that the behaviour complies with all other requirements. The TSO has the right to ask for additional testing and/or simulations, if there is reason to believe that the controller configuration and/or parameter settings have any unforeseen dynamic that is disadvantageous for the power system stability.

The combination of FCR-N and FCR-D is tested with the FCR-D ramp sequence described in section 3.1.2.

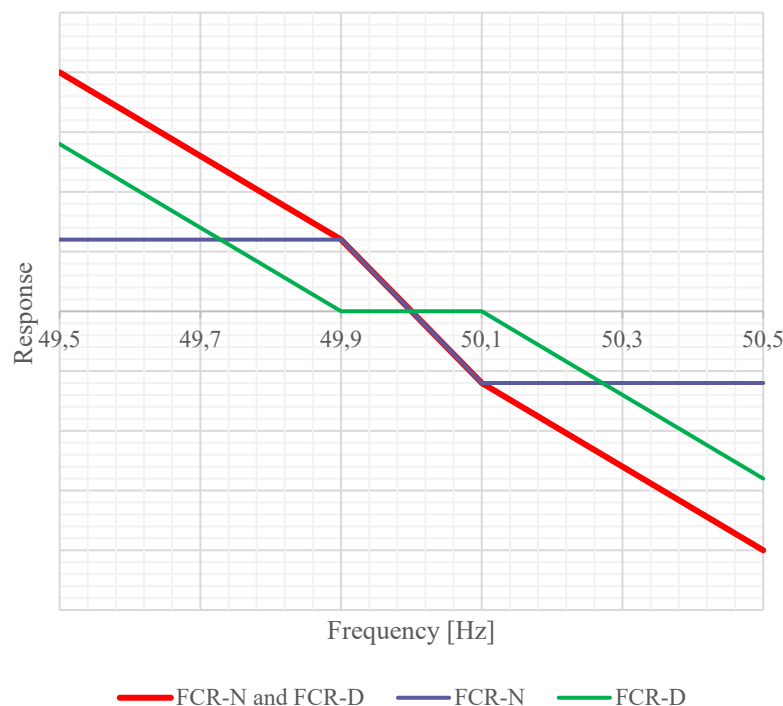


Figure 19. Steady state active power activation as a function of frequency, droop profile of FCR-N (blue), FCR-D (green) and both combined (red).

### 3.6.2 FCR-D with and without LFSM

FSM and LFSM are defined in the Commission regulation (EU) 2016/631, Requirements for Generators. The FSM function is typically utilised to provide FCR-D in the Nordic system, whereas LFSM is a function for frequency regulation outside the FCR frequency band.

FCR-D providing entities **without separate LFSM controllers are not allowed** to have a saturation limit on the frequency measurement input to the FCR-D controller, i.e. for upwards regulation there is no lower limit for the frequency input and for downwards regulation there is no upper limit for the frequency input. The controller parameters of the FCR-D controller must not be changed when the frequency enters the LFSM frequency band. If the entity **is not required** to deliver LFSM through grid connection requirements, the FCR-D controller output is allowed to saturate at the sold FCR-D volume. If the entity **is required** to deliver LFSM, the FCR-D controller output is not allowed to saturate before the entity reaches its maximum or minimum power output.

Entities **with a separate LFSM controller are allowed** to have a saturation limit on the frequency measurement input to the FCR-D controller. The saturation limit should be 49.5 Hz for FCR-D upwards and 50.5 Hz for FCR-D downwards. The LFSM controller is recommended to utilise the same parameters as the FCR-D controller.

The combination of FCR-D and LFSM is tested with the FCR-D ramp sequence described in section 3.1.2. In steady state, an entity providing both FCR-D and LFSM shall activate the sum of FCR-D and LFSM at any frequency deviation, similarly as described for the combination of FCR-N and FCR-D in section 3.6.1.

## 3.7 Start and end of FCR provision during a frequency disturbance

The following rules shall apply when the system frequency does not equal 50.0 Hz at the beginning or end of FCR provision from an entity. They apply regardless of the size of the frequency deviation and the system state.

### 3.7.1 FCR-N

When FCR-N provision is initiated from an FCR-N providing entity the frequency input shall be changed from 50.0 Hz (= Zero activation) to the currently measured system frequency. The stepwise change in input frequency shall lead to an FCR-N response in line with both the performance requirements of FCR-N, and the typical response of the entity. This shall ensure a smooth activation response.

When FCR-N provision is scheduled to end from an FCR-N providing entity the frequency input shall be changed from the currently measured system frequency to 50.0 Hz (= Zero activation). The stepwise change in input frequency shall lead to an FCR-N response in line with both the performance requirements of FCR-N, and the typical response of the entity. This shall ensure a smooth deactivation response. When the FCR-N response has naturally ceased, the FCR-N provision may be ended.

If manually modifying the frequency input is not feasible, the applying provider may propose an alternative implementation. The proposal shall achieve the same effect as stated above and be approved by the TSO. The implementation may be on portfolio level.

### 3.7.2 FCR-D

When FCR-D provision is initiated from an FCR-D providing entity the frequency input shall be changed from a frequency with zero activation ( $f > 49.9$  Hz for FCR-D upwards and  $f < 50.1$  Hz for FCR-D downwards) to the currently measured system frequency. The stepwise change in input frequency shall lead to an FCR-D response in line with both the performance requirements of FCR-D, and the typical response of the entity. This shall ensure a smooth activation response.

When FCR-D provision is scheduled to end from an FCR-D providing entity with a current FCR-D response, the FCR-D provision shall continue until the frequency deviation enters the standardized frequency interval (“normal band”,  $\pm 100$  mHz) and the FCR-D response naturally ceases. If the frequency deviation is long-lasting, the FCR-D response may start to ramp down after 15 minutes after the scheduled end. The ramp must be over a period of 5 minutes.

If no FCR-D response is being provided at the time for the scheduled end of provision, the provision may be ended immediately.

If manually modifying the frequency input is not feasible, the applying provider may propose an alternative implementation. The proposal shall achieve the same effect as stated above and be approved by the TSO. The implementation may be on portfolio level.

### 3.8 Baseline methodology

FCR providing entities must calculate the reference power or baseline, as the FCR response is calculated as the difference between the active power output after the activation and the active power output that would have occurred if the entity had remained not activated (the baseline).

FCR providing entities with a controllable and predetermined production or consumption can use the setpoint as reference power or baseline. Other entities must present a method for baseline calculation to the relevant TSO for approval. Similarly, the available FCR capacity should be forecasted at the time of bidding for FCR. This calculation must also be approved as required by the relevant TSO.

A precise calculation of the baseline will act as true and fair reference power. If the calculation is imprecise, then a delivered response that should have been qualified might not be, and vice versa.

### 3.9 Capacity calculation

A provider needs to calculate the FCR capacity that can be offered to the market and also the maintained capacity in real-time during delivery of FCR. This section describes how these capacities are calculated.

Steps for calculating the capacity that can be offered to the market

1. Determine the steady state response. Examples of methods for calculating the steady state response are given in Appendix 1.
2. Apply reduction factors, if any. If all the requirements were fulfilled without reduction factors, this step can be skipped. If a reserve was prequalified with a reduction factor, the capacity is the steady state response times the reduction factor. If the reserve was prequalified with different reduction factors at high and low load or at high and low droop, the provider can either use the lowest reduction factor for all cases or interpolate the reduction factor with regards to the load and droop at the time of delivery, see Figure 20 and Figure 21.
3. Check headroom taking other reserves into account. The sum of the sold capacities of all reserves in upwards and downwards direction respectively needs to be possible to activate within the operating range of the entity. As a general rule the provider is not allowed to use a droop that will cause saturation of the response at a smaller frequency deviation than the maximum frequency deviation defined for each reserve. An exception from this rule is made for FCR-D when the entity has a capacity reduction factor, in which headroom is needed only for the sold capacity of FCR-D. For FCR-N however the provider needs to reserve headroom for the full steady state response so that the response is linear in the whole FCR-N frequency band and so that FCR-N does not appropriate capacity from FCR-D.

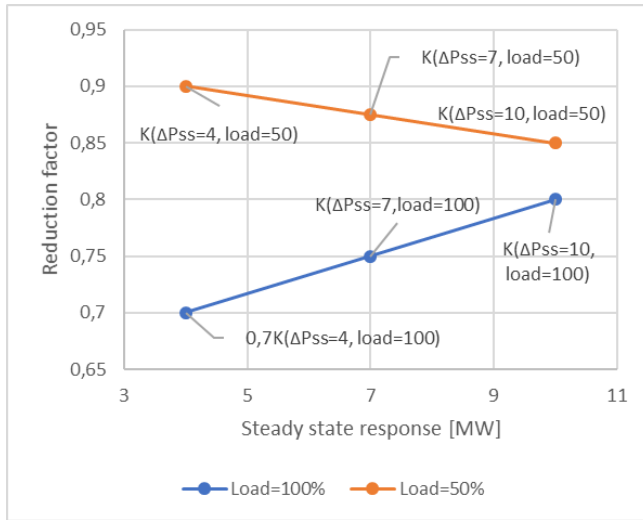


Figure 20. Interpolation of reduction factor as a function of steady state response.

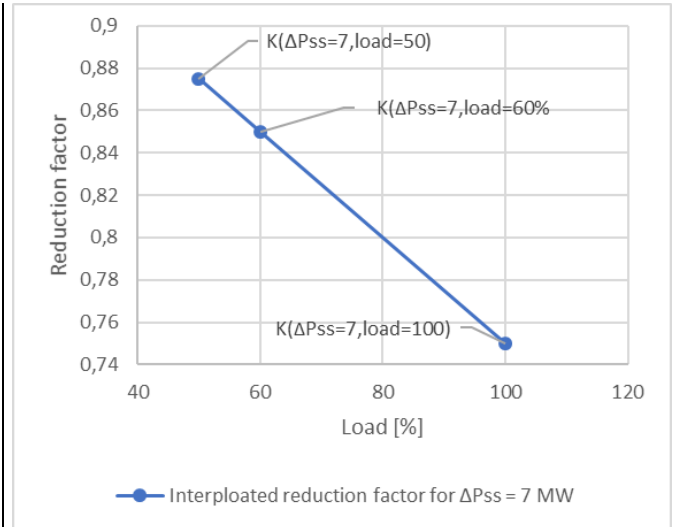


Figure 21. Interpolation of the reduction factor as a function of load. The end points here are the interpolated values from the left figure.

### 3.9.1 Maintained capacity (real time data)

Providers of FCR have to report their maintained FCR capacity to the TSO in real time, if required by the relevant TSO. The maintained capacity is the FCR capacity that in practice is available and would be activated if the maximal frequency deviation occurred. The maintained capacity should be equal to or larger than the sold capacity. Operational limits of the FCR providing entities should be taken into account in the calculation of the maintained capacity. The maintained capacity is calculated as

$$C_{FCR-N,maintained} = \min(P_{max} - P_{baseline} - C_{FRR+FFR}, P_{baseline} - C_{FRR} - P_{min}, C_{FCR-N}), \quad (22)$$

$$C_{FCR-D,upwards,maintained} = \max[\min(P_{max} - P_{baseline} - C_{FRR+FFR} - |\Delta P_{ss,FCR-N,up}|, C_{FCR-D,upwards}), 0] \quad (23)$$

$$\text{and } C_{FCR-D,downwards,maintained} = \max[\min(P_{baseline} - C_{FRR} - |\Delta P_{ss,FCR-N,down}| - P_{min}, C_{FCR-D,downwards}), 0]. \quad (24)$$

$P_{max}$  is the current maximum power output,

$P_{min}$  is the current minimum power output,

$P_{baseline}$  is the current power baseline (the setpoint or the calculated power without frequency control),

$|\Delta P_{ss,FCR-N,up}|$  is the steady state response of FCR-N at 49.9 Hz,

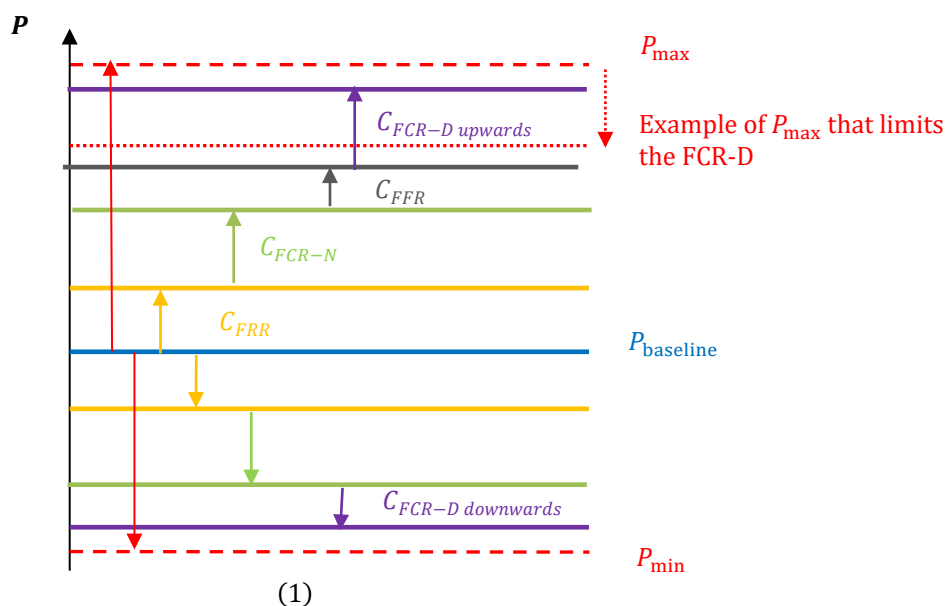
$|\Delta P_{ss,FCR-N,down}|$  is the steady state response of FCR-N at 50.1 Hz,

$C_{FRR+FFR}$  is the sold capacity of FRR and FFR in the relevant direction and

$C_{FCR-X}$  is the capacity of the reserve, i.e. the steady state response of the reserve at full activation scaled with the capacity reduction factor (if any), see Eq. 3 and Eq. 5.

$C_{FCR-X}$  is zero when the frequency control is inactive. The value of  $C_{FCR-N}$  is set to zero for an entity delivering only FCR-D.

Figure 22 illustrates how the capacities of different reserves are added. If there is not enough headroom for all the sold capacities, the maintained FCR-D capacity is limited first, then the FFR capacity, then FCR-N, then FRR. The reasoning behind this is that FRR and FCR-N can be fully activated when a disturbance that activates FCR-D and FFR occurs. When the disturbance occurs, FFR will activate faster than FCR-D, and therefore it is FCR-D that will not be delivered fully. With regards to FCR-N and FRR, any of these reserves can be activated before the other. However, since FCR-N is typically located after FRR in the control chain (FCR works as a difference to the setpoint including FRR), the FRR reserve takes priority over the FCR-N.



**Figure 22.** The figure shows how all the sold capacities has to fit within the operational range of the entity. If a situation occurs when the headroom is not enough for all sold capacities, the maintained FCR-D will be limited first.

### 3.10 Capacity determination for uncertain or varying process

The delivered response from an FCR providing entity may be partly uncertain, due to e.g. stochastic or periodic consumption of the entity. The delivered response shall then be calculated as the difference between the active power output after the activation, and the active power output that would have occurred if the entity had remained not activated. This is illustrated for two types of varying loads in Figure 23 and Figure 24.

Figure 23 illustrates a situation where the load variations are independent of if the entity has been activated or not. If it is possible to determine that the variations are independent of activation, they will be excluded from the capacity calculation during prequalification and operation. To do this assessment the application has to include suitable data and documentation.

Figure 24 illustrates a situation where the variations are not independent of the delivery. In such a case the capacity shall be determined from the response that is ensured, i.e. the minimum of the response curve after activation.

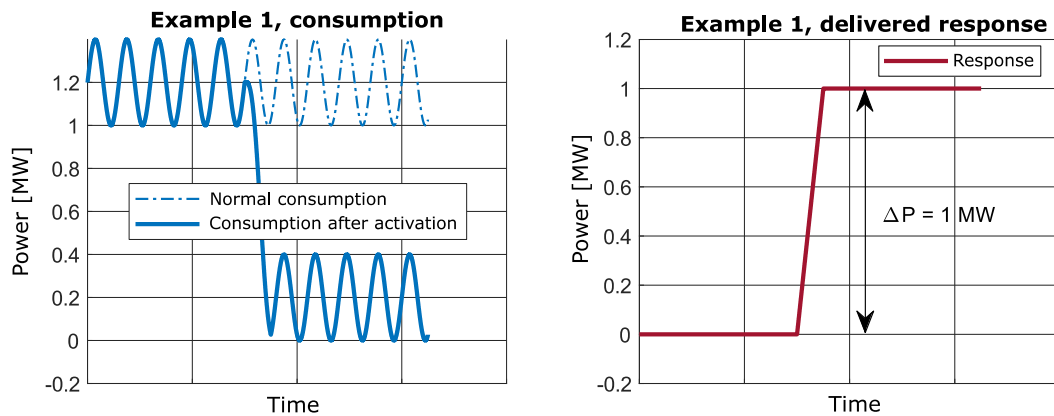


Figure 23. Example response where variations are independent of the delivered response.

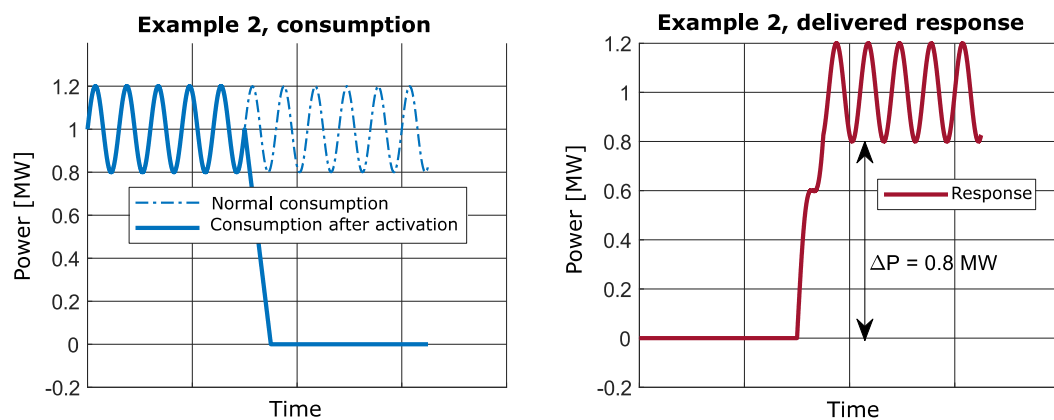


Figure 24. Example response where the variations are not independent of the delivered response.

### 3.11 Provision from aggregated resources

FCR can be provided using an aggregated group of resources forming a reserve providing entity. The reserve providing entity as a whole must always provide a response that meets the technical requirements, while the individual resources on their own do not necessarily have to.

Some providers using aggregated groups may desire some flexibility within the group, e.g. that they may want to add or remove resources after initial prequalification, or that not all resources in the group are able to participate in provision all the time. These two different kinds of flexibility are denoted as *dynamic prequalification* and *dynamic operation*, respectively. The two concepts, dynamic operation and dynamic prequalification, may be combined. The combinations are illustrated in Figure 25 and explained in Table 12 below.

Three different kinds of cases are defined for which flexibility may be applicable. The *General case* is applicable for all groups, in particular units that are non-standardised, or 'large', or aggregated in smaller numbers. A special case is made for *Stand-alone units*, i.e. units that fulfil all the requirements by themselves but are aggregated e.g. due to size (e.g. aggregated small batteries). A second special case is made for units that are standardised, small, and thus aggregated in large numbers (e.g. electric vehicles), which are allowed *type qualification*. If several cases apply for a unit, the provider may choose which of the options to utilise.

The response after use of flexibility is required to be within the technical requirements. Flexibility is allowed only to the extent that is possible without endangering the general purpose and intent of the

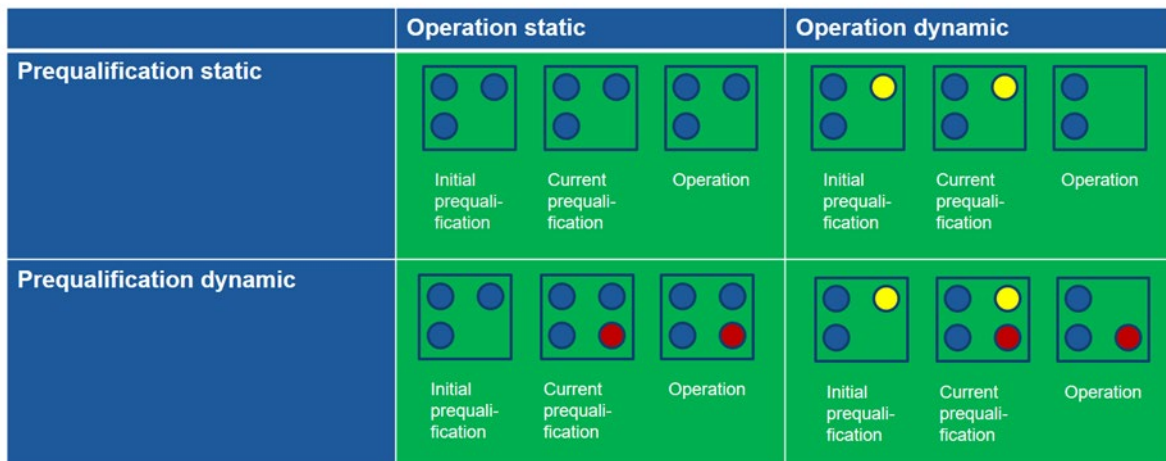
technical requirements. During initial testing the group should be tested according to normal procedures. Periodic reassessment shall be made according to normal procedures.

In the prequalification application the provider shall state what kind of flexibility they apply for: dynamic operation, dynamic prequalification and/or type approval. The provider shall describe how they will ensure compliance under that flexibility. The description shall be assessed and approved by the reserve connecting TSO. The TSO will allow the flexibility if it does not endanger the intent of the technical requirements, and may set additional limits on the flexibility if necessary to ensure compliance with the technical requirements. If approved, the provider may then add additional entities to the group and/or operate dynamically within the approved limits. Further changes outside of the stated limits will require a new prequalification.

Table 12 and Figure 24 below contains a visual illustration of the concepts introduced in this section.

**Table 12. Explanation of the different dynamic scenarios.**

	Operation static	Operation dynamic
Prequalification static	The group is tested the same as if it were a single unit	The whole group is tested at the same time, but the subgroup of members participating is changed during operation.
Prequalification dynamic	Resources enter and/or leave the group, but during operation the whole group participates	Resources enter and/or leave the group, the subgroup of members participating is changed during operation



**Figure 25. Illustration of classification of static and dynamic operation and prequalification respectively. Blue circle indicates a resource that participated in the original prequalification and now participates during operation. Yellow circle denotes a resource that participated during original prequalification but currently does not participate during operation. Red circle corresponds to a resource that was added after initial prequalification and now participates during operation.**

### 3.11.1 Dynamic prequalification

Dynamic prequalification means that an initial set of entities has been prequalified and checked for fulfilment of the technical requirements per the usual process. The approval constitutes the *initial prequalification*. Afterwards the provider is allowed to extend the group with additional resources without performing a full new prequalification of the whole group. Thus, the original prequalification within some

limits will remain valid and extended to the new resources, hence the nomenclature *dynamic prequalification*.

Dynamic prequalification does not extend the validity date of the prequalification, which remains the same as for the initial prequalification. The reserve connecting TSO has the right to use the logged data during operation to monitor the performance of the group and demand a new prequalification sooner, if the actual performance is not in line with the original prequalification.

#### **General case**

The provider is allowed to extend the capacity of a group by 25% compared to the respective configuration that was tested during initial prequalification. Groups smaller than 20 MW may however extend the capacity by 5 MW or 50%, whichever is the smallest. If the maximal extension is reached and further extension is requested new full testing is required. Alternatively a separate group may be formed with the additional units since initial prequalification. A separate second group may however not participate in dynamic operation together with the first group.

Each unit added using this rule shall be tested with the test sequence for Static FCR-D in section 3.1.3. The response of the additional unit shall be reasonable, and the combined response with the aggregated group using the stated methodology shall be compliant with the technical requirements, to be assessed by the reserve connecting TSO.

The general case of dynamic prequalification is only applicable for Static FCR-D. Other versions of FCR need to be tested per normal procedures, or when applicable, use one of the special cases below.

#### **Stand-alone units, (special case 1)**

If the added unit is able to fulfil all the requirements by itself (e.g. is linear and stable) the provider may extend the capacity and number of participants of the group without restriction of the initial capacity.

The added unit shall be tested according to all normal procedures, including determination of capacity.

#### **Type qualification, (special case 2)**

Units that are standardised, small, and aggregated in large numbers may receive type qualification during initial prequalification.

To be type qualified all units of a specific type shall be practically identical with regard to active power, FCR capacity, response during activation and deactivation, and any other factors relevant for that type of unit. To be allowed type qualification the FCR capacity of the unit can be maximum 100 kW.

The provider is allowed to extend the number of participants of a group indefinitely with units that are type qualified for that group, without any additional testing.

The provider is allowed to extend the prequalified capacity of a group by 25 % of initial prequalification, or 1 MW, whichever is higher. The maximal extension is however limited to 3 MW. The TSO shall be notified prior to the extension of the capacity. However, no additional testing is required. If the maximal extension is reached and further extension is requested new full testing is required

### **3.11.2 Dynamic operation**

Dynamic operation means that the whole group does not need to participate in provision at all times, i.e. the provider is allowed to choose a subset of the prequalified group to use during delivery. Dynamic operation is only allowed within a prequalified group, not between groups.

#### **General case**

Dynamic operation in the general case requires assessments and documentation that is dependent on the resources of the relevant group, as the potential properties of the resources in the group may vary. The requirements on dynamic operation thus needs to be determined on a case by case basis, in close co-operation between the provider and the reserve-connecting TSO during the application phase. The TSOs



will not allow wider flexibility than described in the following paragraphs, but may apply additional restrictions when deemed as necessary.

The provider is allowed to operate each tested configuration of a group at any capacity within the range tested for that specific configuration. Additionally, the provider is potentially allowed to operate a group at a level of 80-100% of the capacity of one of the tested configurations (initial prequalification or extended), i.e. by omitting units from one of the tested configurations. The group may however not be operated at a capacity which is lower than the minimum capacity from the initial prequalification.

The subgroup of participating units may be chosen from one of the respective configurations that was tested: during initial prequalification or as extended by dynamic prequalification.

#### **Stand-alone units, (special case 1)**

Units added in a separate prequalification may be freely omitted from operation.

In case of a group consisting both of stand-alone units and general units the additional stand-alone units shall be excluded from the limit of 20 % applicable for the general units, as compared to the initial prequalification.

#### **Type qualification, (special case 2)**

The provider is free to operate the type qualified units between the maximal capacity (after extension or from initial prequalification) and the minimal capacity (from initial prequalification) of the type qualified units.

In case of a group consisting both of type qualified units and general units the type qualified units shall be excluded from the limit of 20 % applicable for the general units, as compared to initial prequalification. The exclusion only applies to the number of type qualified units exceeding the number in the original prequalification.

### **3.11.3 Removal of units**

Some units that have been prequalified as part of an aggregated group may after a while no longer be available for provision, and thus in need of removal from the group. Such units may be removed by using dynamic operation. However, units that have been added through dynamic prequalification may be removed from a group freely. A unit may also be removed from a group by performing a “reversed” dynamic prequalification of the unit to be removed. The removed capacity shall in this case be counted towards the limit for dynamic prequalification.

Type qualified units may be removed freely until reaching the minimum capacity from the initial prequalification. The TSO shall be notified prior to removal of units, if the capacity of the group is affected.

### **3.12 Provision from centrally controlled FCR providing entities**

An entity is defined to be centrally controlled if during operation it is dependent on a centralised function. Examples of such functions are central frequency measurements and central control systems not located together with the providing entity, by e.g. using (third party) communication links. An entity that is not dependent on centralised functions is denoted as locally controlled. An entity may be regarded as locally controlled even if it is dependent on central functions prior to the operation phase and actual provision, e.g. for scheduling of the resource. It is in such cases required either that the communication between the control centre and the resource has high security and reliability, and that any centralised signals are sent well in advance of the contractually agreed delivery period. Alternatively, the signal may be sent closer to provision if the provider is able to manually verify from a manned control centre that the entity has received and accepted the signal.

Local control shall always be implemented whenever feasible from a technical point of view. The reserve connecting TSO may allow central control if local control would incur unreasonable cost. It is the provider's responsibility to contact the TSO to determine if the control configuration is acceptable and if it is regarded as local or central.

Central frequency measurements may only be used to control resources in the same LFC (Load-Frequency Control) area<sup>12</sup> in which the measurements were made.

The maximal provision behind a single point of failure is limited to 5 % of the nominal reference incident in the Nordic power system. This means that the maximal delivery per central controller is also limited to 5 %. Currently the maximal provision per single point of failure is 70 MW in the upwards direction and 70 MW in the downwards direction. In addition, when providing FCR-N and FCR-D at the same time, the combined maximal provision is 100 MW in the upwards direction and 100 MW in the downwards direction.

The implemented solution shall be designed to guarantee an availability of the central functions of at least 99.95 %. The solution shall be robust against unavailability of the central functions, and hence the provider shall implement one of the following methods:

- Redundancy for the central functions, to be evaluated and approved by the reserve connecting TSO
- Alternatively, a local fall-back solution. The reserve connecting TSO may allow the local fall-back to be slightly less accurate than otherwise stated by the requirements, if motivated on a technical basis.
- Single point of failures shall be allowed if deemed unfeasible to avert by redundancy or local fall-back, if the availability requirement can still be met.

## 4 Requirements on the measurement system

An FCR providing entity shall be able to respond to relatively small variations in the measured quantities. The measurement system shall fulfil the requirements on accuracy, resolution and sample rate stated in this section. The active power measurement shall be such, that it covers all active power changes as a result of the FCR activation. The point of power measurement shall be at the grid connection point, or at another suitable point (such as at the generator) agreed with the reserve connecting TSO.

### 4.1 Accuracy

The measurement accuracy for active power and frequency shall achieve the values stated in Table 13, or better. The value shall include the total inaccuracy of instrument (measurement) transformer, measurement transducer and any other equipment in the measurement system.

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<sup>12</sup> Currently LFC areas correspond to bidding zones in the Nordics.

**Table 13. Accuracy of measurement system.**

Measured quantity	Category	Rated power <sup>13</sup>	Accuracy
Active power	A	< 1.5 MW	± 5 %
	B	1.5 – 10 MW	±1 %
	C+D	> 10 MW	± 0.5 % <sup>14</sup>
Grid frequency	N/A	N/A	± 10 mHz
Applied frequency	N/A	N/A	±10 mHz

The active power accuracy shall be achieved when full active power is being measured. When the active power is lower than the rated power a slightly worse accuracy is accepted. Assuming that the error sources are uncorrelated, the total error can be calculated as the square root of the sum of the squared errors of the various error sources:

$$e_{tot} = \sqrt{e_1^2 + e_2^2} \quad (25)$$

## 4.2 Resolution

The measurement resolution for active power and frequency shall achieve the values stated in Table 14, or better. The resolution is limited by e.g. the amount of bits in the measurement system. For a 16-bit system  $2^{16} = 65536$  number of levels is possible to report. If the measured interval corresponds to 0-100 % the resolution becomes  $100/65536 = 0.0015$  %.

**Table 14. Resolution of the measurement system.**

Measured quantity	Resolution
Active power	0.01 MW or 0.025 % <sup>15</sup>
Grid frequency	5 mHz
Applied frequency	5 mHz

## 4.3 Sampling rate

The sampling rate shall be high enough to achieve the above stated requirement for measurement accuracy and measurement resolution, and to supply the controller with a suitable update interval. The sampling rate shall be at least the same as the logged data interval values stated in subsection 5.3 and 6.2 respectively.

## 4.4 Test of frequency measurement equipment

For providers choosing to use an internal software for generating the required test signals, i.e. steps, ramps and sinusoidal signals, the frequency measurement equipment must be taken into account by including its dynamics. This can be done by including a first order transfer function,  $F_{FME}(s)$ , with a time constant,  $T_{FME}$ , that approximates the frequency measurement equipment dynamics,

$$F_{FME}(s) = \frac{1}{T_{FME}s + 1}. \quad (26)$$

<sup>13</sup> Rated power of the resource being measured.

<sup>14</sup> If prequalified for the first time prior to the end of 2023, ± 1 % is allowed. This exemption shall continue to apply only until the next substantial change of the equipment.

<sup>15</sup> For new installations it is recommended to use a 16-bit transducer and thus have a resolution of 0,0015 %.

There are four options for determining the time constant:

1. Separate test of the frequency measurement equipment, by inserting an externally generated frequency step response to measure the time constant of the response.
2. Documentation from supplier of the equipment.
3. References to previous tests of equal equipment.
4. Using the default value provided by the TSOs<sup>16</sup>,  $T_{FME} = 1$  second.

The transfer function for the frequency measurement equipment is used in the evaluation of the frequency domain requirements in section 3.2 and 3.3.

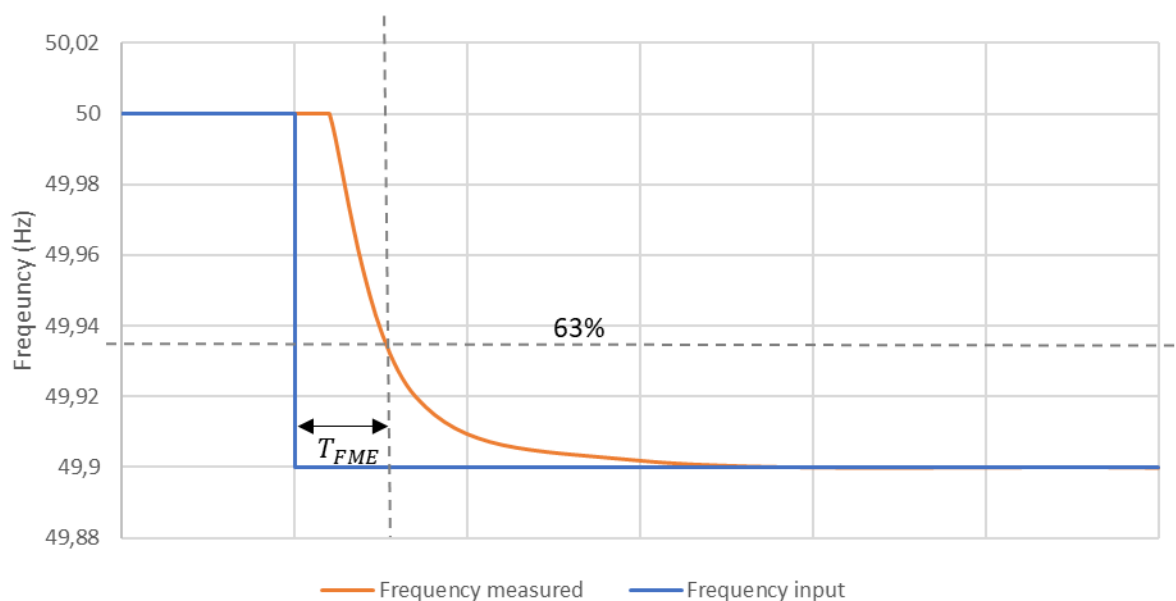


Figure 26. Example response (orange) from a separate test of frequency measurement loop, by applying a step frequency change (blue)

<sup>16</sup> The default value is purposefully set to a high value to ensure a margin.

## 5 Testing requirements

The tests required to verify compliance to the technical requirements are listed in Table 2 in Section 3. The results should be evaluated using the IT-tool provided by the TSOs. The three products FCR-N, FCR-D upwards and FCR-D downwards can be tested and prequalified separately. For entities that will deliver more than one product the combined delivery of those reserves must also be tested (Section 3.6). The provider may use some of the FCR-N test results to verify the frequency domain stability of FCR-D, if the parameter settings are the same for FCR-N and FCR-D.

During the tests, the frequency input signal is replaced by a synthetic signal while the entity is still synchronized to the grid, see Figure 27. The synthetic signal shall preferably be generated using an external signal source (signal generator) connected to the frequency measurement device. If an internal signal is used, the impact of the frequency measurement must be accounted for. If the FCR providing entity being tested is equipped with a Power System Stabilizer (PSS), the PSS status/settings shall be the same as when the entity is in normal operation. During testing, supplementary active power controls like aFRR shall be disabled so that the setpoint remains unchanged. Voltage control using frequency-voltage droop is allowed when it acts on the applied frequency signal, or if it is not sensitive to frequencies within the tested frequency band.

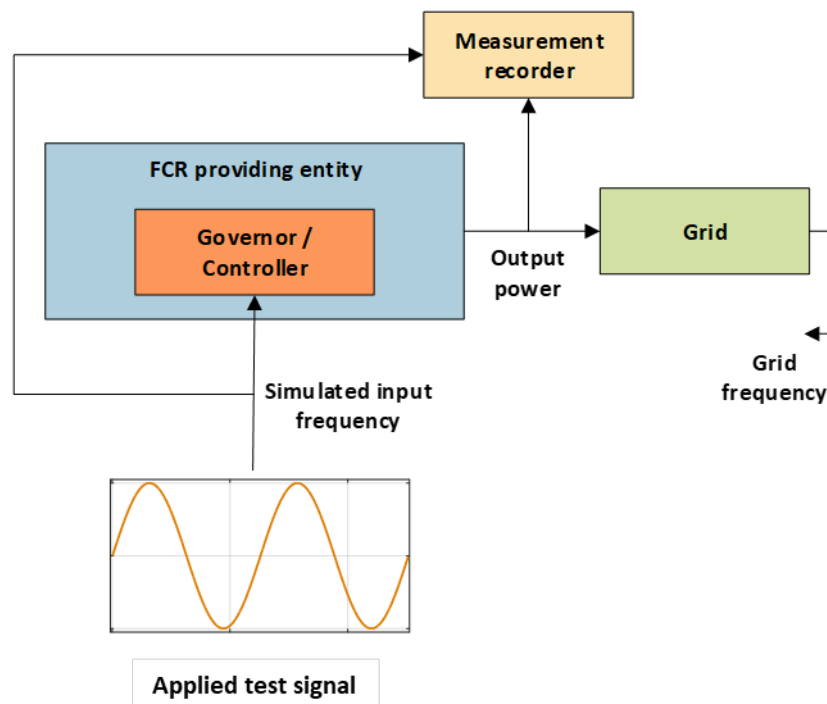


Figure 27. Test setup.

### 5.1 Operational test conditions

Since the tests cannot be performed for all possible operational situations, the required test conditions are limited to the following 4 operational conditions, and corresponding controller parameter sets.

- 1) *High load, high droop*: Applies to FCR-N sine tests, FCR-N step tests and FCR-D ramp tests. The tests shall be carried out with the highest droop (i.e. lowest regulating strength or gain) and the highest load (i.e. highest active power output) **at which the entity will provide FCR**.
- 2) *High load, low droop*: Applies to FCR-D sine tests, FCR-N step tests and FCR-D ramp tests. The tests shall be carried out with the lowest droop (i.e. highest regulating strength or gain) and the highest load (i.e. highest active power output) **at which the entity will provide FCR**.

*Regarding both high load cases (1-2):* The provider can decide on a suitable **margin between the highest possible load and the highest load where FCR will be delivered**. This margin shall then be applied both when testing and when providing FCR. If ambient conditions limit the maximum load during the test, the test shall be carried out at the highest possible load (applying the selected margin).

- 3) *Low load, high droop:* Applies to FCR-N step tests and FCR-D ramp tests. The tests shall be carried out with the highest droop (i.e. lowest regulating strength or gain) and the lowest load (i.e. lowest active power output) **at which the entity will provide FCR**.
- 4) *Low load, low droop:* Applies to FCR-N step tests and FCR-D ramp tests. The tests shall be carried out with the lowest droop (i.e. highest regulating strength or gain) and the lowest load (i.e. lowest active power output) **at which the entity will provide FCR**.

*Regarding both low load cases (3-4):* The provider can decide on a suitable **margin between the lowest possible load and the lowest load where FCR will be delivered**. This margin shall then be applied both when testing and when providing FCR. If ambient conditions limit the minimum load during the test, the test shall be carried out at the lowest possible load (applying the selected margin).

Providers are allowed to include additional testing at other operational conditions in the prequalification, for example if it is not suitable to perform linear interpolation of the capacity using only the above stated operational conditions, in accordance with Appendix 1.

If the above stated conditions are not applicable or representative for the FCR providing entity, the test conditions shall be agreed with the TSO prior to performing the tests. The following exemptions are given:

- If the entity is planned to deliver FCR at a single power setpoint, the tests 3) and 4) can be omitted.
- If the entity is planned to deliver FCR at a single droop setting, the tests 2) and 4) can be omitted.

Further exemptions that are subject to TSO approval prior to testing:

- For technologies where power setpoint does not influence the FCR provision capabilities, testing at a single power setpoint is sufficient for all tests, e.g. many types of batteries.
- The reserve connecting TSO can give additional exemptions for testing requirements where compliance can be confirmed by the general knowledge of the technology, either from previous tests of similar entities or other documentation. The potential FCR provider is responsible for clarifying this prior to testing.

### 5.1.1 Scaling of controller parameters

If the controller used for FCR has different parameter sets that can be enabled, all of these parameter sets should be tested. However, if the parameters are set in such a way that the dynamic behaviour of the controller is scaling linearly with the static gain of the controller ( $1/e_p$ ), only the parameter sets corresponding to maximum and minimum droop needs to be tested. In that case, the provider should demonstrate the linear scaling to the TSO in the application.

Linear scaling of the dynamic behaviour with the static gain,  $1/e_p$ , means that the controller,  $F$ , should be such that that  $2F(e_p) = F\left(\frac{e_p}{2}\right)$ . For example, the typical PI controller with droop depicted in Figure 28, which has the transfer function

$$F(s) = \frac{K_p s + K_i}{(K_p e_p + 1)s + K_i e_p}, \quad (27)$$

scales linearly with  $1/e_p$  if  $K_p = \frac{K}{e_p}$  and  $K_i = 1/(T * e_p)$ .

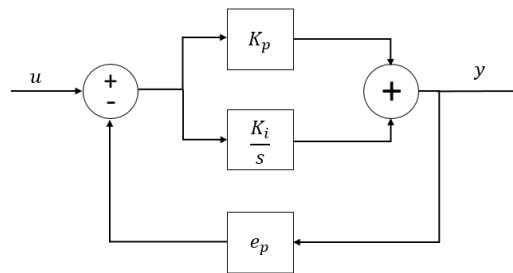


Figure 28. PI controller with droop.

## 5.2 Ambient test conditions

The testing aims at verifying that the entity tested fulfils the technical requirements specified in Section 3 and 4 under foreseeable operational conditions. For FCR providing entities, tests must be performed in such a way that the results are representative of all foreseeable operational conditions. Hydro entities with a joint penstock can be tested individually. The operational conditions at the time for the test must not be optimized for the purpose of the testing.

If the steady state response of the entity depends on some ambient condition which with sufficient accuracy can be taken into account in the steady state response calculation method, the provider is allowed to extend the range of the prequalified capacity with up to 25% in each direction if this is motivated by expected variations in the ambient conditions.

Similarly, if the steady state response depends on the loading of the unit in such a way that the maximum and minimum response is not captured by the tests at high and low load, and the load dependence with sufficient accuracy is taken into account in the steady state response calculation method, the provider is allowed to extend the range of the prequalified capacity accordingly with up to 25% in each direction. The provider can also choose to make additional capacity tests at other loads to verify a larger (or smaller) capacity by tests, in which case the 25% limit is not applied.

The range of the prequalified capacity must not be extended more than 25% in each direction in total compared to the tested capacities. All extensions are subject to assessment and approval by the reserve connecting TSO.

## 5.3 Test data to be logged

Data logged during tests shall be provided to the reserve connecting TSO and should as a minimum include the below listed quantities, which are to be provided in the format described in Subsection 6.2.1. The logged test data shall preferably be time-stamped and with high accuracy synchronised to CET, alternatively a running number of seconds may be used. A separate file for each test is to be prepared and named according to the scheme below:

[DateTime]\_[Resource]\_[Test]\_[Test\_set].csv

Where:

- [DateTime] = The day and time of the day the test is performed in format YYYYMMDDThhmm e.g. 20160310T1210
- [Resource] = Identifier for the resource agreed with the reserve connecting TSO e.g. FCPG1
- [Test] = The test performed named according to one of the following “FCR-N\_step”, “FCR-N\_sine\_[TimePeriod]”, “FCR-N\_linearity”, “FCR-D\_down\_stationary”, “FCR-D\_down\_ramp”,

“FCR-D\_down\_sine\_[TimePeriod]”, “FCR-D\_up\_stationary”, “FCR-D\_up\_ramp” and “FCR-D\_up\_sine\_[TimePeriod]”

- [TimePeriod] = One of the time periods specified in Table 6, e.g. “40s”.
- [Test\_set] = The test set<sup>17</sup> which was used e.g. Test-set1

The sampling rate for data logging during the tests shall be at least 10 Hz for FCR-D and at least 5 Hz for FCR-N, or logging thresholds of 0.01 MW for active power and 5 mHz for frequency shall be used<sup>18</sup>.

### Signals to be continuously logged during the tests

- Instantaneous active power in [MW], Measured grid frequency in [Hz], Applied frequency in [Hz]. The resolution and accuracy shall be as stated in section 4.
- Status ID indicating which controller parameter set is active, if it can be automatically changed during the test.

In addition, it is recommended that important states affecting the FCR response are also logged. Such data includes but is not limited to:

- For all entities
  - Power baseline<sup>19</sup> [MW]
  - Controller output signal
- For hydro entities
  - Guide vane opening
  - Runner blade angle (Kaplan entities)
  - Upstream water level above sea level [m]
  - Downstream water level above sea level [m]
- For thermal entities
  - Turbine control valve opening
- For wind entities
  - Wind speed [m/s]
- For solar entities
  - Solar irradiation [W/m<sup>2</sup>]
- For batteries
  - Charge level

### Provided per test set

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<sup>17</sup> A test set is a group of different tests performed at a certain setpoint of the entity with a certain controller parameter set and consists of all the tests that need to be performed at that setpoint with those controller parameters

<sup>18</sup> In cases where the data logging requirement during test is prohibitive, the reserve connecting TSO may grant an exception to use a sampling rate for data logging of at least 1 Hz. This exception only applies in cases where the higher data rate is not needed for the evaluation, i.e. the response is fast, stable and with low noise levels.

<sup>19</sup> The power baseline can either be the power setpoint of the entity, or, if there is no power setpoint, a calculated value corresponding to the expected power output if frequency control was inactive.



- Maximal allowed power output of the entity at current conditions,  $P_{\max}$  in [MW]
- Minimal allowed power output of the entity at current conditions,  $P_{\min}$  in [MW]
- Controller setpoint, if applicable [MW or %]
- Controller parameter set
- Expected FCR capacity in [MW]
- Dead band for frequency control [Hz]

Conditions that have an impact on the FCR response, such as

- Ambient temperature [°C] (thermal entities)
- Cooling water temperature [°C] (thermal entities)

## 5.4 Test reports

For each providing entity tested, an overall test report shall be put together that summarizes the outcome of the tests. The test report shall be accompanied by the logged data specified for each product tested.

In addition to the test report, a set of **one (1) hour of logged data**, in accordance with Subsection 6.2, shall be submitted to the TSO. The test shall include **active frequency control** with at least FCR-N enabled, recommended to be set on maximal capacity, if applicable.

## 6 Requirements on real-time telemetry and data logging

The requirements for telemetry delivered to the reserve connecting TSO in real-time are outlined in this section. The requirements for data to be logged by the reserve provider and delivered to the TSO upon request are also outlined. The specific details are provided by each respective TSO.

### 6.1 Real-time telemetry

Each TSO may require FCR providers to deliver the following real-time telemetry, with an update interval defined by the TSO, for each of their FCR providing entities:

- Instantaneous active power [MW]. The value shall be such that it covers active power changes as a result of the reserve activation.
- Power baseline [MW]
- Maintained capacity of FCR-N, FCR-D upwards and FCR-D downwards respectively [MW],
- Maximal and minimal power of the entity, if variable [MW]
- Status of controller (on/off) for FCR-N, FCR-D upwards, FCR-D downwards
- Regulating strength (MW/Hz), for FCR-N, FCR-D upwards, FCR-D downwards

For entities with a limited energy reservoir additional real-time telemetry is to be provided as follows:

- Maintained capacity with limited energy reservoir of FCR-N, FCR-D upwards and FCR-D downwards respectively [MW]
- Endurance of maintained capacity with limited energy reservoir or FCR-N, FCR-D upwards and FCR-D downwards respectively [minutes]
- Normal state energy management, NEM, active [on/off]
- Alert state energy management, AEM, active [on/off]

The maintained FCR-N and FCR-D capacity includes both contracted and non-contracted capacity. The resolution and accuracy of the instantaneous active power and frequency shall at least meet the criteria specified in Section 4. Calculations are to be performed on an entity level by the provider and to be reported to the reserve connecting TSO. Calculation of the maintained capacities are described in section 3.9.1.

### 6.2 Data logging

Each FCR provider shall store the logged data for each of its FCR providing entities for at least 14 days, data may be stored in any format suitable for the provider. The data shall be made available in csv-format for the TSO within five working days from request in the file format specified in Subsection 6.2.1. The data shall be recorded with a time resolution less than or equal to 1 second and with a time stamp that should be synchronized to CET or UTC with high accuracy. The resolution and accuracy of the instantaneous active power and frequency shall at least meet the criteria specified in section 4.

The following signals should be logged:

- All signals specified in the section on real-time data exchange (section 6.1)
- Grid frequency [Hz]

It is also recommended that important states affecting the FCR response are logged, such as

- Controller setpoint
- Control Mode (where relevant), alphanumeric identifier indicating which prequalified controller parameter set is active
- Controller output signal [in a format suitable for the specific controller]
- Wind speed (for wind power [m/s])
- Guide vane opening (for hydropower) [% of full operational range or degrees]
- Runner blade angle (for Kaplan turbines) [% of full operational range or degrees]
- Upstream water level, meters above sea level (for hydropower) [m]
- Downstream water level, meters above sea level (for hydropower) [m]
- Reservoir energy level / state of charge, if applicable [%]
- Ambient temperature [°C] (for thermal power)
- Cooling water temperature [°C] (for thermal power)

The data sent to the TSO shall also include a calculation of the *activated* FCR-N, FCR-D upwards and FCR-D downwards in MW.

### 6.2.1 File format for logged data delivery

The file format for data delivery is the European standard csv-file, character encoding in ASCII where values are delimited by comma (,), decimal separator is point (.) and record delimiter is carriage return (↵ ASCII/CRLF=0x0D 0x0A). Date and time formats are in accordance to ISO 8601 and are specified below.

Naming format for the file is [Resource]\_[Service]\_[TestType]\_[Area]\_[Timezone].csv for prequalification test files and [Date]\_[Area]\_[Resource]\_[Interval]\_[Timezone].csv for data logging during operation.

- [Resource] = Identifier for the resource agreed with reserve connecting TSO e.g. FCPG1
- [Service] = Type of service, i.e. Fern, FcrdUp or FcrdDo.
- [TestType] = The type of test identified with the test ID given in the test program.
- [Timezone] = The time zone used for logging, e.g. CET or UTC.
- [Date] = The day data is extracted in format YYYYMMDD e.g. 20160310
- [Area] = The bidding area where the resource is located e.g. SE1, FI, NO5, DK2
- [Resource] = Identifier for the resource agreed with reserve connecting TSO e.g. FCPG1
- [Interval] = The time interval for which data is delivered in format YYYYMMDDThhmm-YYYYMMDDThhmm e.g. 20160101T0000-20160114T2359

Data records are provided in the following format: [DateTime],[record1],[record2],...,[recordX].

- [DateTime] = Date and time in format YYYYMMDDThhmmss.nnn where n are decimal fractions of a second e.g. 20160330T093702.012

The data records to be provided are listed below, together with their record headers and data types. If the data record is non-applicable it should be left blank.

- [Cap\_Fern] = double with at least three decimals of maintained FCR-N capacity in MW e.g. 20.100

- [Cap\_FcrdUp] = double with at least three decimals of maintained FCR-D upwards capacity in MW e.g. 67.500
- [Cap\_FcrdDo] = double with at least three decimals of maintained FCR-D downwards capacity in MW e.g. 67.500
- [InsAcPow] = double with at least three decimals of instantaneous active power in MW e.g. 120.532
- [Pmax] = double with at least three decimals of current maximum power level in MW, output (generation) outtake (consumption) e.g. 120.532
- [Pmin] = double with at least three decimals of current minimum power level in MW output (generation) outtake (consumption) e.g. 0.832
- [RegStr\_FcrdDo] = double with at least three decimals of current regulating strength in MW/Hz, e.g. 0.832
- [RegStr\_FcrdUp] = double with at least three decimals of current regulating strength in MW/Hz, e.g. 0.832
- [RegStr\_Fcrn] = double with at least three decimals of current regulating strength in MW/Hz, e.g. 0.832
- [GridFreq] = double with at least three decimals of measured frequency in Hz e.g. 49.320
- [ContSetP] = double with at least three decimals of controller set point in MW, e.g. 67.500
- [ContOutSig] = double with at least three decimals of the control signal output from the controller e.g. 0.300
- [ContMode\_FcrdDo] = alphanumeric identifier of the control mode in use e.g. FCRDDOWN4
- [ContStatus\_FcrdDo] = binary value indicating if the controller is enabled, e.g. 0 or 1.
- [ContMode\_FcrdUp] = alphanumeric identifier of the control mode in use e.g. FCRDUP4
- [ContStatus\_FcrdUp] = binary value indicating if the controller is enabled, e.g. 0 or 1.
- [ContMode\_Fcrn] = alphanumeric identifier of the control mode in use e.g. FCRN4
- [ContStatus\_Fcrn] = binary value indicating if the controller is enabled, e.g. 0 or 1.
- [GuideVane] = double with at least three decimals of the guide vane opening, only applies to hydro, as a percentage of full operational range or in degrees e.g. 17.500
- [BladeAng] = double with at least three decimals of the runner blade angle in a Kaplan entity, as a percentage of full operational range or in degrees e.g. 5.301
- [UppWatLev] = double with at least three decimals of the current upper water level, only applies to hydro, in meters e.g. 16.500
- [LowWatLev] = double with at least three decimals of the current lower water level, only applies to hydro, in meters e.g. 4.500
- [ResSize] = double with at least three decimals of the current calculated energy reservoir level in MWh, e.g. 1.505
- [NEM] = binary value indicating if the NEM is activated, e.g. 0 or 1
- [AEM] = binary value indicating if the AEM is activated, e.g. 0 or 1
- [AmbTemp] = double with at least three decimals of the current ambient temperature, applies to where temperature has an impact e.g. thermal, in degrees Celsius e.g. -5.120

- 
- [CoolTemp] = double with at least three decimals of the cooling fluid temperature, applies to where temperature has an impact e.g. thermal, in degrees Celsius e.g. 4.120
  - [CalcBaseline] = double with at least three decimals of the calculated baseline, applies to entities without predefined setpoint, in MW, e.g. 8.100

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## 7 Validity and exceptions

These technical requirements for frequency containment reserve provision in the Nordic synchronous area are valid from YYYY-MM-DD.

If a specific requirement turns out to be difficult to fulfil, due to technical or significant economic reasons, the FCR provider may from the reserve connecting TSO request an exception from the specific requirement. The reserve connecting TSO may approve such an exception, if such an exception has no impact on the FCR provision from that specific FCR providing entity, and no significant impact on the stability of the interconnected power system or the FCR markets.

Any dispute between a reserve provider and the connecting TSO should be forwarded to the national regulator, for a recommendation to the TSO involved on how to handle the dispute.

## Appendix 1: Examples of capacity calculation methods

### Steady state response calculation, example 1

A general example of a process  $G_1$  controlled by a controller  $F$ , where the input signal is the negative frequency deviation and the output signal is the power deviation, is depicted in Figure 29.

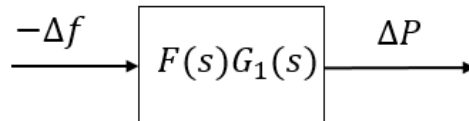


Figure 29. General example of a controller  $F(s)$  and a process  $G_1(s)$ .

If the steady state response of  $F(s)G_1(s)$  to a frequency change depends only on one controller parameter, the droop,  $e_p$ , the steady state response calculation is simply

$$\Delta P_{ss}(\Delta f_{max}) = \frac{1}{e_p} \Delta f_{max} \quad (28)$$

where  $\Delta f_{max}$  is the maximum one-sided frequency change, i.e. 0.1 Hz for FCR-N and 0.4 Hz for FCR-D.

### Steady state response calculation, example 2

In this example, the entity has a controller structure according to Figure 30 where the controlled signal is  $\Delta Y$  (for example guide vane opening in a hydropower unit) and the relation between the controlled signal  $\Delta Y$  and the power output  $\Delta P$  varies with the operating point  $Y_0$  and/or with ambient conditions.

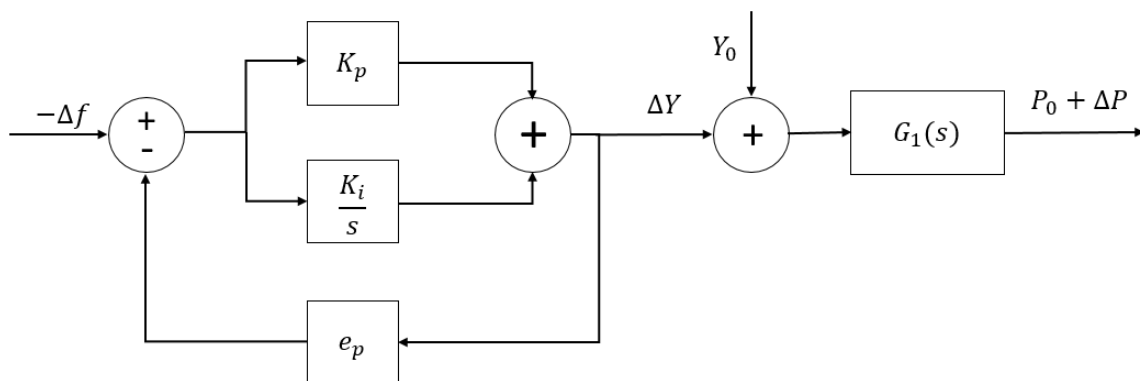


Figure 30. PI controller with droop and guidevane feedback, where the  $K_p$  and  $K_i$  parameters are independent.

The steady state response of the controlled signal,  $Y$ , depends on the droop and can be calculated as

$$\Delta Y_{ss}(\Delta f_{max}) = \frac{1}{e_p} \Delta f_{max}. \quad (29)$$

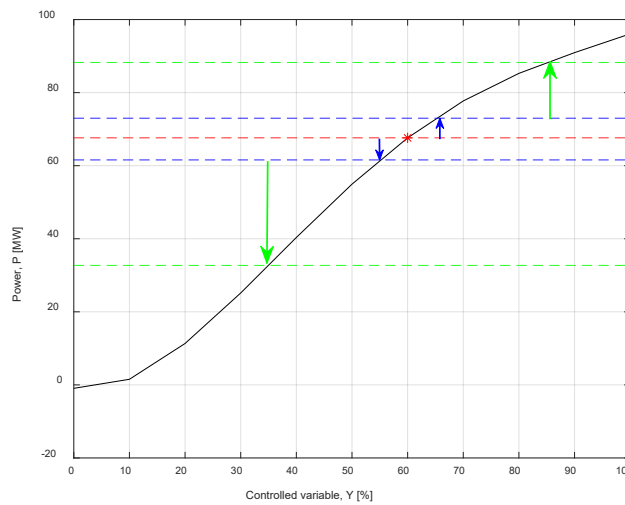
If the steady state power output as a function of the controlled variable and some ambient condition (e.g. head for a hydropower unit) is known, the steady state power response for each reserve at a certain ambient condition and a certain setpoint for the controlled variable,  $Y_{sp}$ , can be calculated as

$$\Delta P_{SS,FCR-N} = P(Y_{SS}) = \frac{P(Y=Y_{sp}+0.1/e_p) - P(Y=Y_{sp}-0.1/e_p)}{2} \quad (30)$$

$$\Delta P_{SS,FCR-Dup} = P(Y_{SS}) = P(Y = Y_{sp} - 0.5/e_p) - P(Y = Y_{sp} - 0.1/e_p) \quad (31)$$

$$\Delta P_{SS,FCR-Ddown} = P(Y_{SS}) = P(Y = Y_{sp} + 0.1/e_p) - P(Y = Y_{sp} + 0.5/e_p) \quad (32)$$

This is illustrated in Figure 31, where the steady state relation between power and the controlled variable at a certain ambient condition is drawn as a black line. Here, the Y setpoint is 60 % and the static gain,  $1/e_p$ , is 50 %/Hz. For FCR-N, the steady state power response is 5.7 MW (the mean of 5.6 MW upwards and 5.8 MW downwards), and is illustrated by blue arrows. The FCR-D upwards and downwards steady state power responses are 15.3 MW and 28.9 MW respectively, illustrated by green arrows.

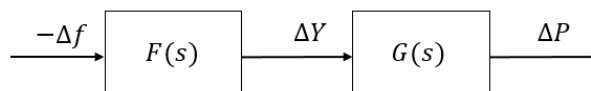


**Figure 31. Illustration of the steady state power response of FCR-N and FCR-D. The black line is the steady state relation between the controlled variable Y and the power, the red star is the operating point, the blue lines show the power output at fully activated FCR-N and the green lines show the power output at fully activated FCR-D.**

If the steady state relation between the controlled variable and the power output is not known, linear interpolation between steady state power response measured in the step tests (FCR-N) and ramp tests (FCR-D) should be used to determine  $\Delta P_{SS}$ .

### Steady state response calculation, example 3

For an entity with controller  $F(s)$  and process  $G(s)$  as depicted in Figure 32, where the steady state gain of  $F$  from the negative frequency deviation  $-\Delta f$  to the controlled variable  $\Delta Y$  is  $K_F$  and the gain of  $G$  is uncertain but varies with the operating point, the steady state response measured during testing can be utilized in the steady state response calculation.



**Figure 32. Generalized controller  $F(s)$  which controls the process  $G(s)$ . The input to the controller is the negative frequency deviation and the output of the process is the power deviation (FCR-response).**

*Method:*



1. For each steady state response test (FCR-N steps and FCR-D ramps), calculate the steady state response of the controlled variable,  $\Delta Y_{ss} = K_F \cdot \Delta f$ , and if the controlled signal is logged, check the result against the logged value.
2. Use the measured steady state values of the power response to calculate the static gain of  $G(s)$ , i.e.  $K_G = \frac{\Delta P_{ss}}{\Delta Y_{ss}}$  for each test.
3. Use the  $K_G$  values from the high load test and the low load tests respectively to calculate an average  $K_G$  at high load and an average  $K_G$  at low load, see Figure 33. For loads between the high and low load points, the value of  $K_G$  should be interpolated using linear interpolation.
4. The theoretical steady state gain can then be calculated as  $\Delta P_{ss,theoretical} = K_F \cdot \Delta f \cdot K_G(load)$ , where  $K_G(load)$  is the value interpolated for the actual load.

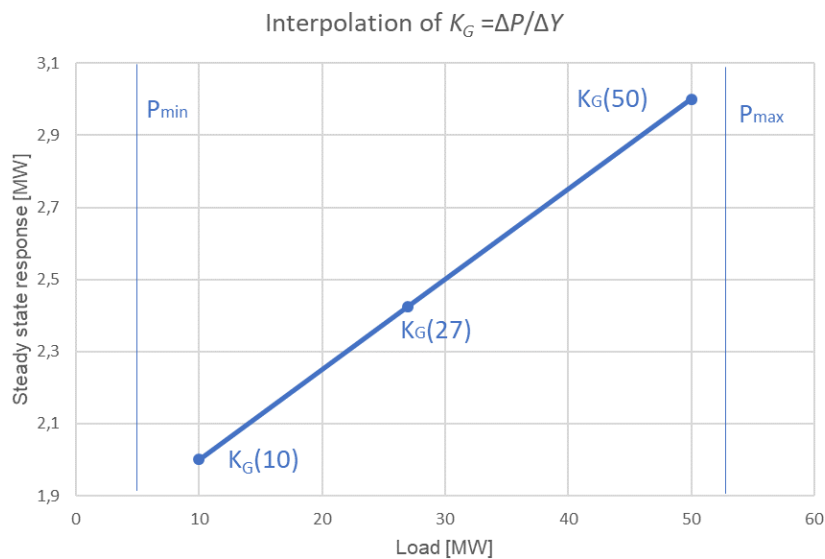


Figure 33. Example of interpolation of the load dependent gain  $K_G$ .

## Appendix 2: Determination of operational conditions to perform tests

This appendix contains an example on how to choose the setpoints in order to maximise the prequalified interval of operational conditions for a specific entity. Generally, it is required to complete one test set at a minimum of four operational conditions for FCR-N, FCR-D upwards and FCR-D downwards, see details in Section 5.1:

- 1) *High load, high droop*
- 2) *High load, low droop*
- 3) *Low load, high droop*
- 4) *Low load, low droop*

The entity is then allowed to deliver also for setpoint in-between the tested setpoint interval, and for droop levels within the tested droop interval.

Below follows an example based on a production entity that shall prequalify for FCR-N, FCR-D upwards and FCR-D downwards. The entity is able to individually control each product and the aim is to maximise the interval for which the entity is qualified to operate within.

**Table 15. Properties of the example production entity.**

Property	Quantity	Entity
$P_{\max}$	50.0	MW
$P_{\min}$	5.0	MW

**Table 16. Expected capacities for the example entity, prior to testing.**

Capacity	Max	Min
$C_{FCR-N}$	5 MW	1 MW
$C_{FCR-D Up}$	10 MW	4 MW
$C_{FCR-D Down}$	10 MW	4 MW

The operational test points to apply during the test are given in Table 17. The table gives the setpoints that corresponds to testing at maximum and minimum load. The provider is allowed to introduce a margin towards maximum and minimum load in the tests, i.e. shift the setpoints slightly compared to the example in Table 17.

Table 17. Operating points for tests on an example unit.

Test ID	Test type	Droop	Load	Steady state response [MW]	P setpoint [MW] (P at f=50Hz)	Max P in test	Min P in test	Comment
1.1	FCR-N steps	High	High	±1	49	50	48	
1.2	FCR-N steps	Low	High	±5	45	50	40	
1.3	FCR-N steps	High	Low	±1	6	7	5	
1.4	FCR-N steps	Low	Low	±5	10	15	5	
2.1	FCR-D upwards ramps	High	High	4	45	50	45	FCR-N enabled with high droop, 1 MW, FCR-D test starts at 46 MW
2.2	FCR-D upwards ramps	Low	High	10	40	50	40	
2.3	FCR-D upwards ramps	High	Low	4	5	10	5	FCR-N enabled with high droop, 1 MW, FCR-D test starts at 6 MW
2.4	FCR-D upwards ramps	Low	Low	10	5	15	5	
3.1	FCR-D downwards ramps	High	High	-4	50	50	45	FCR-N enabled with high droop, 1 MW, FCR-D test starts at 49 MW
3.2	FCR-D downwards ramps	Low	High	-10	50	50	40	
3.3	FCR-D downwards ramps	High	Low	-4	10	10	5	FCR-N enabled with high droop, 1 MW, FCR-D test starts at 9 MW
3.4	FCR-D downwards ramps	Low	Low	-10	15	15	5	
4.1	Sine FCR-N	High	High	±1	49	50	48	
4.2	Sine FCR-D upwards	Low	High	10	40	47.5	42.5	$P_{max}$ would occur at 49.5 Hz
4.3	Sine FCR-D downwards	Low	High	-10	50	47.5	42.5	$P_{max}$ would occur at 50.0 Hz
5.1	Linearity steps FCR-N	Low	High	±5	45	50	40	

5.1	Linearity steps FCR-N	High	Low	±1	6	7	5
6.1	Linearity steps FCR-D upwards	Low	High	10	40	50	40
6.2	Linearity steps FCR-D upwards	High	Low	4	5	9	5
7.1	Linearity steps FCR-D downwards	Low	High	-10	50	50	40
7.2	Linearity steps FCR-D downwards	High	Low	-4	9	9	5

